



---

---

# LADDER FUNCTORS WITH AN APPLICATION TO REPRESENTATION-FINITE ARTINIAN RINGS

Wolfgang Rump

## Introduction

Ladders were introduced by Igusa and Todorov for the investigation of representation-finite artinian algebras and algebras over an algebraically closed field [7]. They prove a radical layers theorem [7] which exhibits the graded structure of Auslander-Reiten sequences. In a second article [8] they obtain a characterization of the Auslander-Reiten quivers of representation-finite artinian algebras. Their construction of ladders starts with an irreducible morphism  $f_0: A_0 \rightarrow B_0$  in a module category  $\mathcal{A}$ . So  $f_0$  factors through a right almost split map  $u: \vartheta B_0 \rightarrow B_0$ . Assume that  $f_0 = ug$  with a split monomorphism  $g$ . Then  $g$  can be written as  $g = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  with respect to a decomposition  $\vartheta B_0 = A_0 \oplus B_1$ . This gives a pullback

$$\begin{array}{ccc} A_1 & \longrightarrow & A_0 \\ \downarrow f_1 & & \downarrow f_0 \\ B_1 & \longrightarrow & B_0 \end{array}$$

which completes the first step of a ladder. Under favorite circumstances, the ladder can be extended. In the given situation, Igusa and Todorov [7] solved

---

Key Words: Ladder,  $\tau$ -category, artin ring.  
Mathematical Reviews subject classification: 16G70, 16G10.  
Received: September, 2001.

the extension problem by a careful examination of the bimodules of irreducible maps between objects.

Recently, Iyama [9] improved the construction as follows. Let  $\mathcal{A}$  be a category with left and right almost split sequences (see §1). He calls a morphism  $f_0$  in  $\mathcal{A}$  *special* if for each morphism  $r: A_0 \rightarrow B_0$  in  $\text{Rad}^2 \mathcal{A}$ ,  $f_0 + r$  is isomorphic to  $f_0$  as a two-termed complex. Then it follows in a quite elementary way that each step  $f_n$  of the ladder, after splitting off trivial complexes  $X \rightarrow 0$ , admits a continuation  $f_{n+1}$  which is again special. Such ladders have been far-reaching enough to get a solution of Igusa and Todorov's problem in dimension one. Namely, they yield a characterization of the Auslander-Reiten quivers of representation-finite orders over a complete discrete valuation domain [10].

In [19] we modify the theory of ladders in such a way that a functorial approach becomes possible. Apart from being functorial, this method has a two-fold advantage. Firstly, it applies to arbitrary morphisms  $f_0 \in \text{Rad} \mathcal{A}$ , and secondly, it provides a kind of ladders with the property that the commutative squares between two steps are pullbacks and pushouts. Therefore, our ladders establish a bridge between almost split sequences and arbitrary short exact sequences.

In the present article, the method will be applied to the artinian situation. This gives a quick proof of Igusa and Todorov's characterization of the Auslander-Reiten quivers belonging to representation-finite artinian algebras. More generally, every cotilting module  ${}_{\Lambda}U$  over a left artinian ring  $\Lambda$  defines a full subcategory  $\mathbf{lat}(U)$  of  $\Lambda\text{-mod}$ , consisting of the  $\Lambda$ -modules  $M \in \Lambda\text{-mod}$  finitely cogenerated by  $U$ . For example, the category of representations of a poset in the sense of Nazarova, Roiter [11], and Gabriel [6], and (generalized) vector space categories [20], are of that type. For a ring  $R$ , let  $R\text{-proj}$  denote the category of finitely generated projective left  $R$ -modules. We prove that the categories  $\mathbf{lat}(U)$  with finitely many indecomposable objects can be characterized by two properties: They are equivalent to  $R\text{-proj}$  for an artinian ring  $R$ ; and they have left and right almost split sequences for all of their objects.

## 1 $\tau$ -Rings and strict $\tau$ -categories

An additive category  $\mathcal{A}$  is said to be a *Krull-Schmidt* category, if every object is a finite direct sum of objects with local endomorphism rings. Then the ideal  $\text{Rad} \mathcal{A}$  generated by the non-invertible morphisms between indecomposable

objects in  $\mathcal{A}$  is called the *radical* of  $\mathcal{A}$ . A morphism  $f: A \rightarrow B$  in  $\mathcal{A}$  is said to be *right (left) almost split* [4] if  $f \in \text{Rad } \mathcal{A}$ , and every morphism  $C \rightarrow B$  (resp.  $A \rightarrow C$ ) in  $\text{Rad } \mathcal{A}$  factors through  $f$ . The class of indecomposable objects will be denoted by  $\text{Ind } \mathcal{A}$ , and  $\text{ind } \mathcal{A}$  will be a fixed representative system of the isomorphism classes in  $\text{Ind } \mathcal{A}$ . If  $\text{ind } \mathcal{A}$  is finite for a Krull-Schmidt category  $\mathcal{A}$ , then  $R := \text{End}(\bigoplus \text{ind } \mathcal{A})^{\text{op}}$  is a semiperfect ring with  $\mathcal{A} \approx R\text{-proj}$ , the category of finitely generated projective left  $R$ -modules. Note that the functor  $P \mapsto P^* := \text{Hom}_R(P, R)$  provides a natural duality

$$(R\text{-proj})^{\text{op}} \approx R^{\text{op}}\text{-proj}. \tag{1}$$

We define a  $\tau$ -ring as a semiperfect ring  $R$  such that, as a left or right  $R$ -module,  $\text{Rad } R$  satisfies the following conditions:

$$\left. \begin{array}{l} \text{Rad } R \text{ is finitely presented} \\ \text{pd}(\text{Rad } R) \leq 1 \\ \text{Ext}_R(\text{Rad } R, R) \text{ is semisimple.} \end{array} \right\} \tag{2}$$

This means that every simple  $R$ -module  $S$  has a minimal projective resolution

$$0 \rightarrow P_2 \xrightarrow{v} P_1 \xrightarrow{u} P_0 \twoheadrightarrow S \tag{3}$$

in  $\mathcal{A} := R\text{-proj}$  (resp.  $\mathcal{A} := R^{\text{op}}\text{-proj}$ ) such that  $u, v \in \mathcal{A}$  have the following properties:

$$\left. \begin{array}{l} v = \ker u \\ u \text{ is right almost split} \\ v \text{ is left almost split.} \end{array} \right\} \tag{4}$$

A complex  $P_2 \xrightarrow{v} P_1 \xrightarrow{u} P_0$  in a Krull-Schmidt category  $\mathcal{A}$  that satisfies (4) is said to be a *right almost split sequence* for  $P_0$ . In a dual way, *left almost split sequences* are defined. So the definition of a  $\tau$ -ring just states that  $R\text{-proj}$  has left and right almost split sequences for each of its objects. Krull-Schmidt categories with this property are known as *strict  $\tau$ -categories* [9]. Since a right almost split sequence for an object  $A$  is unique up to isomorphism, it will be denoted by

$$\tau A \xrightarrow{v_A} \vartheta A \xrightarrow{u_A} A. \tag{5}$$

Similarly, a left almost split sequence for  $A$  is denoted by

$$A \xrightarrow{u^A} \vartheta^- A \xrightarrow{v^A} \tau^- A. \tag{6}$$

More generally, for a morphism  $f: A \rightarrow B$  in a Krull-Schmidt category  $\mathcal{A}$ , we call  $k: K \rightarrow A$  a *weak kernel* if  $fk = 0$  and every morphism  $k': K' \rightarrow A$

with  $fk' = 0$  factors through  $k$ . If, in addition, each  $g: C \rightarrow K$  with  $kg = 0$  lies in  $\text{Rad } \mathcal{A}$ , then  $k$  is unique up to isomorphism (see [16], Proposition 7), and we write  $\text{wker } f := k$ . If a sequence (5) satisfies (4) except that  $\ker u$  is replaced by  $\text{wker } u$ , we speak of a *right  $\tau$ -sequence* for  $A$ . In a dual way, *weak cokernels*,  $\text{wcok } f$ , and *left  $\tau$ -sequences* (6) are defined. A Krull-Schmidt category with left and right  $\tau$ -sequences for each of its objects is said to be a  *$\tau$ -category* [9].

**Proposition 1 ([9], 2.3).** *Let  $R$  be a  $\tau$ -ring, and let  $S$  be a simple  $R$ -module with  $\text{pd } S = 2$ . Then  $\text{Ext}_R^i(S, R) = 0$  for  $i < 2$ , and  $\text{Ext}_R^2(S, R)$  is simple.*

*Proof.* For a minimal projective resolution (3) of  $S$ , consider the projective resolution

$$0 \rightarrow P^* \xrightarrow{i^*} P_1^* \xrightarrow{v^*} P_2^* \twoheadrightarrow \text{Ext}_R^2(S, R)$$

of the semisimple  $R$ -module  $\text{Ext}_R^2(S, R)$ . Then  $u^* = i^*p^*$  for some  $p: P \rightarrow P_0$ , and  $u = pi$ . This gives a commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & P_2 & \xrightarrow{v} & P_1 & \xrightarrow{i} & P & \twoheadrightarrow & C \\ & & \parallel & & \parallel & & \downarrow p & & \downarrow e \\ 0 & \longrightarrow & P_2 & \xrightarrow{v} & P_1 & \xrightarrow{u} & P_0 & \xrightarrow{c} & S \end{array}$$

with  $C := \text{Ext}_R^2(\text{Ext}_R^2(S, R), R)$ , where the horizontal sequences are projective resolutions. Our assumption  $v \neq 0$  implies that  $cp \neq 0$ . Hence  $e$  is epic, and so  $S$  is a direct summand of the semisimple  $R$ -module  $C$ . Since  $\text{Ext}_R(C, R) = 0$ , we infer that  $\text{Ext}_R(S, R) = 0$ . Moreover,  $cp \neq 0$  implies that  $p$  is a split epimorphism. Hence  $u^*$  is monic, and  $\text{Ext}_R(S, R) = 0$  shows that  $u^* = \ker v^*$ . Thus  $e$  is an isomorphism. Since the complex (3) is indecomposable, this completes the proof.  $\square$

Proposition 1 shows that any right almost split sequence  $P_2 \rightarrow P_1 \rightarrow P_0$  with  $P_0$  indecomposable and  $P_2 \neq 0$  is left almost split with  $P_2$  indecomposable.

## 2 Ladder functors

An additive category  $\mathcal{A}$  is said to be *preabelian* if every morphism in  $\mathcal{A}$  has a kernel and a cokernel. Kernels (cokernels) in  $\mathcal{A}$  will be depicted by  $\dashrightarrow$

(resp.  $\twoheadrightarrow$ ). Monic and epic morphisms will be called *regular*. A sequence of morphisms

$$A \xrightarrow{a} B \xrightarrow{b} C$$

in  $\mathcal{A}$  with  $a = \ker b$  and  $b = \operatorname{cok} a$  is said to be *short exact*. Since every commutative square

$$\begin{array}{ccc} A & \xrightarrow{a} & B \\ \downarrow b & & \downarrow c \\ C & \xrightarrow{d} & D \end{array} \quad (7)$$

in  $\mathcal{A}$  corresponds to a complex

$$A \xrightarrow{\begin{pmatrix} a \\ -b \end{pmatrix}} B \oplus C \xrightarrow{\begin{pmatrix} c & d \end{pmatrix}} D, \quad (8)$$

we call (7) a *left (right) almost split square* resp. a *left (right)  $\tau$ -square* if the corresponding property holds for (8). We call (7) *exact* if (8) is a short exact sequence. An object  $Q \in \mathcal{A}$  is said to be *projective (injective)* if the functor  $\operatorname{Hom}_{\mathcal{A}}(Q, -)$  (resp.  $\operatorname{Hom}_{\mathcal{A}}(-, Q)$ ) preserves short exact sequences. The full subcategories of projective (injective) objects will be denoted by  $\mathbf{Proj}(\mathcal{A})$  (resp.  $\mathbf{Inj}(\mathcal{A})$ ). We say that  $\mathcal{A}$  has *strictly enough projectives (injectives)* [14] if for each object  $A \in \mathcal{A}$  there is a cokernel  $P \twoheadrightarrow A$  with  $P \in \mathbf{Proj}(\mathcal{A})$  (resp. a kernel  $A \twoheadrightarrow I$  with  $I \in \mathbf{Inj}(\mathcal{A})$ ).

Let  $\mathcal{A}$  be a Krull-Schmidt category. The morphisms in  $\mathcal{A}$  form an additive category  $\operatorname{Mor}(\mathcal{A})$  with morphisms  $\varphi: b \rightarrow c$  given by commutative squares (7). Let  $[\mathcal{A}]$  be the ideal of morphisms  $\varphi: b \rightarrow c$  in  $\mathcal{A}$  which are homotopic to zero, i. e. for which there exists a morphism  $h: C \rightarrow B$  in  $\mathcal{A}$  with  $a = hb$  and  $d = ch$ . It is easy to see that  $[\mathcal{A}]$  consists of the morphisms which factor through an object  $1_E: E \rightarrow E$  in  $\operatorname{Mor}(\mathcal{A})$ . Every object of  $\operatorname{Mor}(\mathcal{A})$  is isomorphic to  $e \oplus 1_E$  for some  $e \in \operatorname{Rad} \mathcal{A}$ . Therefore, the homotopy category  $\operatorname{Mor}(\mathcal{A})/[\mathcal{A}]$  is equivalent to a full subcategory  $\mathbf{M}(\mathcal{A})$ , consisting of the objects  $e \in \operatorname{Mor}(\mathcal{A})/[\mathcal{A}]$  with  $e \in \operatorname{Rad} \mathcal{A}$ . There are two natural full embeddings  $(\ )^+$ :  $\mathcal{A} \hookrightarrow \mathbf{M}(\mathcal{A})$  and  $(\ )^-$ :  $\mathcal{A} \hookrightarrow \mathbf{M}(\mathcal{A})$  which map an object  $A \in \mathcal{A}$  to  $A^+$ :  $0 \rightarrow A$  and  $A^-$ :  $A \rightarrow 0$ , respectively. So we have two full subcategories  $\mathcal{A}^+$  and  $\mathcal{A}^-$  of  $\mathbf{M}(\mathcal{A})$  which are equivalent to  $\mathcal{A}$ :

$$\mathcal{A}^+ \hookrightarrow \mathbf{M}(\mathcal{A}) \hookleftarrow \mathcal{A}^-. \quad (9)$$

By  $\operatorname{Rad}^+ \mathbf{M}(\mathcal{A})$  (resp.  $\operatorname{Rad}^- \mathbf{M}(\mathcal{A})$ ) we denote the ideal of morphisms  $b \rightarrow c$  in  $\mathbf{M}(\mathcal{A})$  given by a commutative square (7) with  $d \in \operatorname{Rad} \mathcal{A}$  (resp.  $a \in \operatorname{Rad} \mathcal{A}$ ).

**Lemma 1.** *Let  $\mathcal{A}$  be a Krull-Schmidt category. A morphism  $\varphi: b \rightarrow c$  in  $\mathbf{M}(\mathcal{A})$  given by (7) is invertible if and only if (8) is a split short exact sequence.*

*Proof.* Assume first that (8) is a split short exact sequence. Then there are morphisms  $\binom{e}{g}: D \rightarrow B \oplus C$  and  $(f \ -h): B \oplus C \rightarrow A$  with

$$(c \ d) \binom{e}{g} = 1, \quad (f \ -h) \binom{a}{-b} = 1, \quad \binom{a}{-b} (f \ -h) + \binom{e}{g} (c \ d) = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}. \quad (10)$$

This gives six equations in  $\mathcal{A}$ . Five of these equations, except  $ah = ed$ , imply that

$$\begin{array}{ccc} B & \xrightarrow{f} & A \\ \downarrow c & & \downarrow b \\ D & \xrightarrow{g} & C \end{array} \quad (11)$$

is an inverse of  $\varphi$ . Conversely, let (11) be an inverse of  $\varphi$ . Then there are morphisms  $e: D \rightarrow B$  and  $h': C \rightarrow A$  with

$$\begin{array}{ll} 1 - af = ec & 1 - dg = ce \\ 1 - fa = h'b & 1 - gd = bh'. \end{array} \quad (12)$$

Since  $b, c \in \text{Rad } \mathcal{A}$ , this implies that  $a$  and  $d$  are isomorphisms. Hence (8) is a split short exact sequence.  $\square$

**Remark.** Without use of the Krull-Schmidt property, the proof can be completed as follows. Equations (12) remain valid if we replace  $h'$  by  $h := h' - f(ah' - ed)$ . In fact,

$$\begin{aligned} f(ah' - ed)b &= fah'b - fedb = fa(1 - fa) - fecb = f(1 - af - ec)a = 0 \text{ and} \\ bf(ah' - ed) &= bfah' - bfed = b(1 - h'b)h' - gced = bh'gd - g(1 - dg)d = 0. \end{aligned}$$

Now (10) follows, since  $ah - ed = ah' - ed - af(ah' - ed) = (1 - af)(ah' - ed) = ec(ah' - ed) = ecah' - eced = edbh' - e(1 - dg)d = ed(1 - gd) - e(1 - dg)d = 0$ .  $\square$

Let  $\mathcal{A}$  be a strict  $\tau$ -category, and let  $a: A_1 \rightarrow A_0$  be an object in  $\mathbf{M}(\mathcal{A})$ . Any decomposition  $A_0 = C \oplus P$  defines a morphism  $\pi_C: a \rightarrow \bar{a}$ , given by a commutative square

$$\begin{array}{ccc} A_1 & \xrightarrow{1} & A_1 \\ \downarrow a & & \downarrow \bar{a} \\ C \oplus P & \xrightarrow{(1 \ 0)} & C. \end{array}$$

In [19] we define a morphism

$$\lambda_{C,a} : L_C a \rightarrow a \tag{13}$$

in  $M(\mathcal{A})$  with the following universal property:

$$(U) \begin{cases} \pi_C \lambda_{C,a} \in \text{Rad}^+ M(\mathcal{A}), \text{ and for every } \varphi: x \rightarrow a \text{ with } \pi_C \varphi \in \text{Rad}^+ M(\mathcal{A}) \\ \text{there is a unique factorization } \varphi = \lambda_{C,a} \varphi'. \end{cases}$$

Let us repeat the construction of (13). For any decomposition  $A_1 = B \oplus U$ , we can write  $a$  as a matrix  $a = \begin{pmatrix} b & r \\ s & q \end{pmatrix}: B \oplus U \rightarrow C \oplus P$ . We choose  $U$  as a maximal direct summand of  $A_1$  such that  $r \in \text{Rad}^2 \mathcal{A}$ . Then we have a right almost split square

$$\begin{array}{ccc} C' & \xrightarrow{f'} & B \\ \downarrow b' & & \downarrow b \\ B' & \xrightarrow{f} & C. \end{array} \tag{14}$$

Thus  $r = (f \ b) \begin{pmatrix} t \\ t' \end{pmatrix}$  with  $t, t' \in \text{Rad} \mathcal{A}$ . We modify  $B \oplus U$  by  $\begin{pmatrix} 1 & -t' \\ 0 & 1 \end{pmatrix} \in \text{Aut}(B \oplus U)$ , replacing the matrix of  $a$  by  $\begin{pmatrix} b & r \\ s & q \end{pmatrix} \begin{pmatrix} 1 & -t' \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} b & ft \\ s & p \end{pmatrix}$  with  $p := q - st'$ . Then (13) is given by the commutative square

$$\begin{array}{ccc} C' \oplus U & \xrightarrow{\begin{pmatrix} f' & 0 \\ 0 & 1 \end{pmatrix}} & B \oplus U \\ \begin{pmatrix} b' & t \\ sf' & p \end{pmatrix} \downarrow & & \downarrow \begin{pmatrix} b & ft \\ s & p \end{pmatrix} \\ B' \oplus P & \xrightarrow{\begin{pmatrix} f & 0 \\ 0 & 1 \end{pmatrix}} & C \oplus P. \end{array} \tag{15}$$

Notice the symmetric structure of (15). We apply (13) in two particular cases. First, we choose  $P$  as the largest direct summand of  $A_0$  with  $\tau P = 0$ . Then we simply write  $\lambda_a: La \rightarrow a$  instead of (13). Together with its dual, we obtain a pair of additive functors  $L, L^-: M(\mathcal{A}) \rightarrow M(\mathcal{A})$  with natural transformations

$$L \xrightarrow{\lambda} 1 \xrightarrow{\lambda^-} L^-. \tag{16}$$

In fact, let  $\underline{\text{Rad}} \mathcal{A}$  (resp.  $\overline{\text{Rad}} \mathcal{A}$ ) be the ideal of morphisms  $r + s \in \mathcal{A}$  such that  $r \in \text{Rad} \mathcal{A}$ , and  $s$  factors through an object  $Q \in \mathcal{A}$  with  $\tau Q = 0$  (resp.  $\tau^- Q = 0$ ). By  $\underline{\text{Rad}}^+ M(\mathcal{A})$  (resp.  $\overline{\text{Rad}}^- M(\mathcal{A})$ ) we denote the ideal of morphisms  $\varphi: b \rightarrow c$  in  $M(\mathcal{A})$  given by (7) such that  $d \in \underline{\text{Rad}} \mathcal{A}$  (resp.  $a \in \overline{\text{Rad}} \mathcal{A}$ ). Then the universal property (U) specializes to

$(U_\lambda) \left\{ \begin{array}{l} \lambda_a \in \underline{\text{Rad}}^+ \mathbf{M}(\mathcal{A}) \text{ for each object } a \in \mathbf{M}(\mathcal{A}), \text{ and every morphism } x \rightarrow a \\ \text{in } \underline{\text{Rad}}^+ \mathbf{M}(\mathcal{A}) \text{ factors through } \lambda_a \text{ in a unique manner.} \end{array} \right.$

Therefore, a morphism  $\varphi: a \rightarrow b$  in  $\mathbf{M}(\mathcal{A})$  determines a commutative square

$$\begin{array}{ccc} La & \xrightarrow{\lambda_a} & a \\ \downarrow L\varphi & & \downarrow \varphi \\ Lb & \xrightarrow{\lambda_b} & b \end{array} \quad (17)$$

with a unique morphism  $L\varphi$ . This shows that  $L$  is a functor with a natural transformation  $\lambda: L \rightarrow 1$ .

By the symmetry of (15), the universal property of  $\lambda$  admits a certain converse. Namely, every morphism  $\varphi: La \rightarrow d$  in  $\overline{\text{Rad}}^- \mathbf{M}(\mathcal{A})$  factors uniquely through  $\lambda_a$  ([19], Proposition 4). In particular, every morphism  $\psi: La \rightarrow b$  satisfies  $\lambda_b^- \psi \in \overline{\text{Rad}}^- \mathbf{M}(\mathcal{A})$ . Therefore,  $\psi$  induces a commutative square

$$\begin{array}{ccc} La & \xrightarrow{\lambda_a} & a \\ \downarrow \psi & & \downarrow \psi' \\ b & \xrightarrow{\lambda_b^-} & L^-b \end{array} \quad (18)$$

with a unique  $\psi'$ , and by symmetry, the correspondence  $\psi \mapsto \psi'$  is bijective. Consequently, (18) together with (17) and its dual shows that  $L$  is left adjoint to  $L^-$ . We call  $L, L'$  the *ladder functors* of  $\mathbf{M}(\mathcal{A})$ .

Another special case of (13) arises when we set  $P = 0$ . Then we obtain a pair of functors  $\widehat{L}, \widehat{L}^-: \mathbf{M}(\mathcal{A}) \rightarrow \mathbf{M}(\mathcal{A})$  with natural transformations

$$\widehat{L} \xrightarrow{\widehat{\lambda}} 1 \xrightarrow{\widehat{\lambda}^-} \widehat{L}^- \quad (19)$$

such that  $\widehat{\lambda}_a := \lambda_{A_0, a}$  for any object  $a: A_1 \rightarrow A_0$ . Here the universal property (U) specializes to

$(U_{\widehat{\lambda}}) \left\{ \begin{array}{l} \widehat{\lambda}_a \in \text{Rad}^+ \mathbf{M}(\mathcal{A}), \text{ and every morphism } x \rightarrow a \text{ in } \text{Rad}^+ \mathbf{M}(\mathcal{A}) \text{ factors} \\ \text{uniquely through } \widehat{\lambda}_a. \end{array} \right.$

The usefulness of  $L, L^-$  has been shown in [19]. An application of  $\widehat{L}, \widehat{L}^-$  will be given in the next section.

Let  $\varphi: b \rightarrow c$  be a morphism (7) in  $\mathbf{M}(\mathcal{A})$ . We call  $\varphi$  a *pullback (pushout morphism)* if (7) is a pullback (pushout). If (7) is an exact square, we call  $\varphi$



an *exact* morphism. Note that these concepts are invariant under homotopy. In fact, a homotopy  $h: C \rightarrow B$  in (7) amounts to an isomorphic change of the complex (8):

$$\begin{array}{ccccc}
 A & \xrightarrow{\begin{pmatrix} a \\ -b \end{pmatrix}} & B \oplus C & \xrightarrow{(c \ d)} & D \\
 \parallel & & \downarrow \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix} & & \parallel \\
 A & \xrightarrow{\begin{pmatrix} a-hb \\ -b \end{pmatrix}} & B \oplus C & \xrightarrow{(c \ d-ch)} & D.
 \end{array} \tag{20}$$

By [19], Propositions 3 and 4, and Corollary 3 of Proposition 5, we have

**Proposition 2.** *Let  $\mathcal{A}$  be a strict  $\tau$ -category. Then  $\lambda_a$  is exact, and  $\widehat{\lambda}_a$  is a pullback morphism for any object  $a \in \mathbf{M}(\mathcal{A})$ . Moreover,  $L$  preserves exact morphisms.*

For a full subcategory  $\mathcal{C}$  of an additive category  $\mathcal{A}$ , a morphism  $f: A \rightarrow B$  in  $\mathcal{A}$  is said to be  $\mathcal{C}$ -*epic* ( $\mathcal{C}$ -*monic*) if every morphism  $C \rightarrow B$  (resp.  $A \rightarrow C$ ) with  $C \in \mathcal{C}$  factors through  $f$ . In [19], Proposition 2, we characterize pullback morphisms in  $\mathbf{M}(\mathcal{A})$  as  $\mathcal{A}^-$ -epic monomorphisms. By  $[\mathcal{C}]$  we denote the ideal of  $\mathcal{A}$  generated by the morphisms  $1_C$  with  $C \in \mathcal{C}$ .

Let  $\mathcal{A}$  be a strict  $\tau$ -category. We define  $\mathbf{Proj}_\tau(\mathcal{A})$  (resp.  $\mathbf{Inj}_\tau(\mathcal{A})$ ) as the full subcategory of objects  $Q \in \mathcal{A}$  with  $\tau Q = 0$  (resp.  $\tau^- Q = 0$ ). By Proposition 1 we have the inclusions

$$\mathbf{Proj}(\mathcal{A}) \subset \mathbf{Proj}_\tau(\mathcal{A}); \quad \mathbf{Inj}(\mathcal{A}) \subset \mathbf{Inj}_\tau(\mathcal{A}). \tag{21}$$

By the universal properties  $(U_\lambda)$  and  $(U_{\widehat{\lambda}})$  there are unique natural transformations  $\kappa, \kappa^-$  which make the following triangles commutative:

$$\begin{array}{ccccc}
 L & \xrightarrow{\lambda} & 1 & \xrightarrow{\lambda^-} & L^- \\
 \uparrow \kappa & \nearrow \widehat{\lambda} & & \searrow \widehat{\lambda}^- & \downarrow \kappa^- \\
 \widehat{L} & & & & \widehat{L}^-.
 \end{array} \tag{22}$$

More generally, there are natural transformations  $\lambda^n: L^n \rightarrow 1$  and  $\widehat{\lambda}^n: \widehat{L}^n \rightarrow 1$  for each  $n \in \mathbb{N}$  with components

$$\lambda_a^n := \lambda_a \lambda_{La} \cdots \lambda_{L^{n-1}a}; \quad \widehat{\lambda}_a^n := \widehat{\lambda}_a \widehat{\lambda}_{\widehat{L}a} \cdots \widehat{\lambda}_{\widehat{L}^{n-1}a}. \tag{23}$$

As in (22) we find a unique natural transformation  $\kappa^n: \widehat{L}^n \rightarrow L^n$  with  $\lambda^n \kappa^n = \widehat{\lambda}^n$  for any given  $n$ .

**Proposition 3.** *Let  $\mathcal{A}$  be a strict  $\tau$ -category. For each object  $a \in \mathbf{M}(\mathcal{A})$ , and  $n \in \mathbb{N}$ , the morphism  $\kappa_a^n: \widehat{L}^n a \rightarrow L^n a$  is  $\mathcal{A}^+$ -epic modulo  $[\mathbf{Proj}_\tau(\mathcal{A})^+]$ .*

*Proof.* Let  $A$  be an object in  $\mathcal{A}$ . Then every morphism  $\varphi: A^+ \rightarrow L^n a$  in  $\mathbf{M}(\mathcal{A})$  satisfies  $\lambda_a^n \varphi = \rho + \sigma$  with  $\rho \in (\mathbf{Rad}^+ \mathbf{M}(\mathcal{A}))^n$  and  $\sigma \in [\mathbf{Proj}_\tau(\mathcal{A})^+]$ . Hence  $(U_{\widehat{\lambda}})$  gives  $\rho = \widehat{\lambda}_a^n \rho'$  for some  $\rho': A^+ \rightarrow \widehat{L}^n a$ . Since  $\lambda_a^n$  is  $\mathbf{Proj}_\tau(\mathcal{A})^+$ -epic by  $(U_\lambda)$ , we get  $\sigma = \lambda_a^n \sigma'$  for some  $\sigma' \in [\mathbf{Proj}_\tau(\mathcal{A})^+]$ . Therefore,  $\lambda_a^n(\varphi - \kappa_a^n \rho' - \sigma') = 0$ , and thus  $\varphi = \kappa_a^n \rho' + \sigma'$ .  $\square$

### 3 Artinian $\tau$ -rings

Let  $R$  be a  $\tau$ -ring with  $\mathcal{A} := R\text{-proj}$ . We define  $\text{Fix } L$  (resp.  $\text{Fix } L^-$ ,  $\text{Fix } \widehat{L}$ ,  $\text{Fix } \widehat{L}^-$ ) as the full subcategory of objects  $a \in \mathbf{M}(\mathcal{A})$  for which  $\lambda_a$  (resp.  $\lambda_a^-$ ,  $\widehat{\lambda}_a$ ,  $\widehat{\lambda}_a^-$ ) is an isomorphism. (Note that a morphism  $\varphi: b \rightarrow c$  in  $\mathbf{M}(\mathcal{A})$  given by (7) is invertible if and only if  $a$  and  $d$  are invertible in  $\mathcal{A}$ .) By the definitions,  $a: A_1 \rightarrow A_0$  belongs to  $\text{Fix } L$  (resp.  $\text{Fix } \widehat{L}$ ) if and only if  $\tau A_0 = 0$  (resp.  $A_0 = 0$ ).

The category  $\mathbf{M}(\mathcal{A})$  is closely related to the categories  $R\text{-mod}$  and  $\mathbf{mod}\text{-}R$  of finitely presented left resp. right  $R$ -modules. There are two additive functors

$$R\text{-mod} \xleftarrow{\text{Cok}} \mathbf{M}(\mathcal{A}) \xrightarrow{\text{Cok}^-} (\mathbf{mod}\text{-}R)^{\text{op}} \quad (24)$$

given by the cokernel of  $a: A_1 \rightarrow A_0$  in  $R\text{-mod}$  and  $\text{Cok}^- a := \text{Cok}(a^*)$ .

**Proposition 4.** *For a  $\tau$ -ring  $R$  with  $\mathcal{A} := R\text{-proj}$ , the functors (24) induce equivalences*

$$R\text{-mod} \approx \mathbf{M}(\mathcal{A})/[\mathcal{A}^-]; \quad (\mathbf{mod}\text{-}R)^{\text{op}} \approx \mathbf{M}(\mathcal{A})/[\mathcal{A}^+]. \quad (25)$$

*In particular, an object  $a \in \mathbf{M}(\mathcal{A})$  satisfies  $\text{Cok } a = 0$  if and only if  $a \in \mathcal{A}^-$ .*

*Proof.* Since the functors (24) are full and dense, we only have to show that a morphism  $\varphi: b \rightarrow c$  given by (7) belongs to  $[\mathcal{A}^-]$  if and only if there exists a morphism  $h: C \rightarrow B$  in  $\mathcal{A}$  with  $d = ch$ . If such an  $h$  exists,  $\varphi$  admits a factorization

$$\begin{array}{ccccc} A & \xrightarrow{1} & A & \xrightarrow{a-hb} & B \\ \downarrow b & & \downarrow & & \downarrow c \\ C & \longrightarrow & 0 & \longrightarrow & D. \end{array}$$

The converse is trivial. □

Since  $\widehat{\lambda}_a: \widehat{L}a \rightarrow a$  is a pullback morphism for every object  $a \in \mathbf{M}(\mathcal{A})$ , and the embedding  $R\text{-proj} \hookrightarrow R\text{-mod}$  preserves pullbacks, there is a natural embedding  $\text{Cok}(\widehat{L}a) \hookrightarrow \text{Cok } a$ . More precisely, we have (cf. [9], Theorem 4.1)

**Proposition 5.** *Let  $R$  be a  $\tau$ -ring. For any object  $a \in \mathbf{M}(R\text{-proj})$ ,*

$$\text{Cok}(\widehat{L}a) = \text{Rad}(\text{Cok } a). \tag{26}$$

*Proof.* Put  $\mathcal{A} := R\text{-proj}$ , and assume that  $\widehat{\lambda}_a$  is given by a commutative square

$$\begin{array}{ccc} B_1 & \xrightarrow{f_1} & A_1 \\ \downarrow \widehat{L}a & & \downarrow a \\ B_0 & \xrightarrow{f_0} & A_0. \end{array}$$

Then  $f_0 \in \text{Rad } \mathcal{A}$  implies that  $\text{Cok}(\widehat{L}a) \subset \text{Rad}(\text{Cok } a)$ . Conversely, let  $p: P \rightarrow \text{Rad } A_0$  be a projective cover in  $R\text{-mod}$ . Consider the natural epimorphisms  $c: A_0 \twoheadrightarrow \text{Cok } a$  and  $d: B_0 \twoheadrightarrow \text{Cok}(\widehat{L}a)$ , and the inclusion  $i: \text{Cok}(\widehat{L}a) \hookrightarrow \text{Cok } a$ . Then  $p$  induces a morphism  $\varphi: P^+ \rightarrow a$  in  $\text{Rad}^+ \mathbf{M}(\mathcal{A})$ . By  $(U_{\widehat{\lambda}})$  there is a morphism  $\varphi': P^+ \rightarrow \widehat{L}a$  with  $\varphi = \widehat{\lambda}_a \varphi'$ . This gives morphisms  $g: P \rightarrow B_0$  and  $h: P \rightarrow A_1$  with  $p - f_0 g = ah$ . Hence  $\text{Rad}(\text{Cok } a) = cp(P) = cf_0 g(P) = idg(P) \subset \text{Cok}(\widehat{L}a)$ . □

**Corollary.** *A  $\tau$ -ring  $R$  with  $\mathcal{A} := R\text{-proj}$  is artinian if and only if there is an  $n \in \mathbb{N}$  with  $\widehat{L}^n \mathcal{A}^+ \subset \mathcal{A}^-$ . For such an  $n$ , every object  $a \in \mathbf{M}(\mathcal{A})$  satisfies  $\widehat{L}^n a \in \mathcal{A}^-$  and  $L^n a \in \text{Fix } L$ .*

*Proof.* Note that  $R$  is artinian if and only if  $\text{Rad}^n R = 0$  for some  $n \in \mathbb{N}$ . So the first statement follows by Propositions 4 and 5. Furthermore,  $\widehat{L}^n a \in \mathcal{A}^-$  holds for each object  $a \in \mathcal{A}$ . By Proposition 3,  $\kappa_a^n$  is  $\mathcal{A}^+$ -epic modulo  $[\mathbf{Proj}_{\tau}(\mathcal{A})^+]$ . Therefore,  $\widehat{L}^n a \in \mathcal{A}^-$  implies that

$$\text{Hom}_{\mathbf{M}(\mathcal{A})}(\mathcal{A}^+, L^n a) \subset [\mathbf{Proj}_{\tau}(\mathcal{A})^+],$$

whence  $L^n a \in \text{Fix } L$ . □

**Proposition 6.** *For an artinian  $\tau$ -ring  $R$ , the category  $R\text{-proj}$  is preabelian and has strictly enough projectives and injectives.*

*Proof.* A morphism  $f \in \mathcal{A} := R\text{-proj}$  can be regarded as an object  $f \in \text{Mor}(\mathcal{A})$ . So  $f$  is isomorphic to some  $1_C \oplus a$  with  $a \in \text{Rad } \mathcal{A}$ . Therefore, a (co-)kernel of  $a$  gives a (co-)kernel of  $f$ . By the above Corollary, there is an  $n \in \mathbb{N}$  with  $\widehat{L}^n \mathcal{A}^+ \subset \mathcal{A}^-$ . In particular,  $\widehat{L}^n a = K^-$  for some object  $K \in \mathcal{A}$ . Since  $\widehat{\lambda}_a^n: \widehat{L}^n a \rightarrow a$  is a pullback morphism by Proposition 2, this gives a kernel of  $a \in \mathcal{A}$ . Now let  $A$  be an object in  $\mathcal{A}$ . By the above Corollary,  $L^n A^+ \in \text{Fix } L$ . Since  $\lambda_{A^+}^n: L^n A^+ \rightarrow A^+$  is exact by Proposition 2, we get a short exact sequence  $B \xrightarrow{i} P \rightarrow A$  with  $i = L^n A^+$ . To show that  $P$  is projective, consider a short exact sequence  $X \xrightarrow{x} Y \xrightarrow{y} Z$  in  $\mathcal{A}$  and a morphism  $f: P \rightarrow Z$ . We may assume without loss of generality that  $x \in \text{Rad } \mathcal{A}$ . Then  $y$  determines an exact morphism  $\varphi: x \rightarrow Z^+$ , and we have to show that  $f^+: P^+ \rightarrow Z^+$  factors through  $\varphi$ . By  $(U_\lambda)$  we have  $f^+ = \lambda_{Z^+}^n \psi$  for some  $\psi: P^+ \rightarrow L^n Z^+$ . So it remains to be shown that  $\psi$  factors through  $L^n \varphi$ . Proposition 2 implies that  $L^n \varphi$  is exact. By [16], Corollary of Proposition 8, every cokernel  $D \rightarrow Q$  with  $\tau Q = 0$  splits. Since  $L^n Z^+ \in \text{Fix } L$ , Lemma 1 shows that  $L^n \varphi$  is an isomorphism. Hence  $P$  is projective. The rest follows by duality.  $\square$

**Remark.** A preabelian category with strictly enough projectives and injectives is also called a *strict PI-category* [14]. Such categories form an important class of almost abelian categories (see [14], §5).

As a consequence, we get the following extension of Igusa and Todorov's theorem ([8], Theorem 3.4).

**Corollary.** *For a ring  $R$  with  $\mathcal{A} := R\text{-proj}$ , the following are equivalent:*

- (a)  *$R$  is an artinian  $\tau$ -ring such that  $u_P$  is not epic for each  $P \in \text{Ind } \mathcal{A}$  with  $\tau P = 0$ .*
- (b) *There exists an artinian ring  $\Lambda$  with  $\Lambda\text{-mod} \approx \mathcal{A}$ .*

*Proof.* (a)  $\Rightarrow$  (b): Define  $Q := \bigoplus (\mathbf{Proj}(\mathcal{A}) \cap \text{ind } \mathcal{A})$  and  $\Lambda := \text{End}_{\mathcal{A}}(Q)^{\text{op}}$ . Then  $\Lambda$  is artinian, and  $\mathbf{Proj}(\mathcal{A}) \approx \Lambda\text{-proj}$ . So it suffices to prove that  $\mathcal{A}$  is abelian, i. e. that every regular morphism  $r: A \rightarrow B$  in  $\mathcal{A}$  is invertible (see [14], Proposition 12). In  $\text{Mor } \mathcal{A}$  we have a decomposition  $r \cong 1_C \oplus a$  with  $a \in \text{Rad } \mathcal{A}$ . By the Corollary of Proposition 5, there is an  $n \in \mathbb{N}$  with  $L^n a \in \text{Fix } L$ . Now (a) implies that  $L^n a$  is not epic, unless  $L^n a \in \mathcal{A}^-$ . Since  $a$  is epic, we get  $L^n a \in \mathcal{A}^-$ . As  $a$  is monic, the exactness of  $\lambda_a^n$  gives  $L^n a = 0$ , whence  $a = 0$ .

(b)  $\Rightarrow$  (a): By Auslander's general existence theorem ([3], Theorem 3.9), there is an almost split sequence  $\mathbb{E}: A \rightarrow B \rightarrow C$  in the category  $\Lambda\text{-Mod}$  of all  $\Lambda$ -modules for each non-projective  $C \in \text{Ind}(\Lambda\text{-mod})$ . Since  $A$  is finitely generated by [13], Corollary (4.4),  $\mathbb{E}$  is an almost split sequence in  $\Lambda\text{-mod}$ .

By [21], Theorem 4,  $\Lambda\text{-mod}$  has a finitely generated injective cogenerator. Therefore, the dual argument implies that  $R$  is a  $\tau$ -ring. By Harada and Sai's lemma ([12], 2.2),  $\text{Rad } R$  is nilpotent. Hence  $R$  is artinian. Since  $\mathcal{A} \approx \Lambda\text{-mod}$ , this proves (a).  $\square$

More generally, we get a characterization of arbitrary artinian  $\tau$ -rings. Let  $\Lambda$  and  $\Gamma$  be left and right coherent rings, respectively (see [1], §19). By [17], Proposition 10, this means that  $\Lambda\text{-mod}$  and  $\text{mod-}\Gamma$  are abelian categories. A bimodule  ${}_{\Lambda}U_{\Gamma}$  is said to be *cotilting* (cf. [5]) if  ${}_{\Lambda}U$  and  $U_{\Gamma}$  are finitely presented with  $\Lambda = \text{End}(U_{\Gamma})$  and  $\Gamma = \text{End}({}_{\Lambda}U)^{\text{op}}$  such that for each  $M \in \Lambda\text{-mod}$  and  $N \in \text{mod-}\Gamma$ ,

$$\text{Ext}_{\Lambda}(U, U) = \text{Ext}_{\Gamma}(U, U) = \text{Ext}_{\Lambda}^2(M, U) = \text{Ext}_{\Gamma}^2(N, U) = 0. \quad (27)$$

Since  $\Gamma$  is determined by  ${}_{\Lambda}U$ , the module  ${}_{\Lambda}U$  is said to be a *cotilting module*. By  $\mathbf{lat}(U)$  we denote the full subcategory of  $\Lambda\text{-mod}$  consisting of the modules  $M \in \Lambda\text{-mod}$  which are finitely cogenerated by  ${}_{\Lambda}U$  (i. e. which admit an embedding  $M \hookrightarrow U^n$  for some  $n \in \mathbb{N}$ ). Then  $\mathbf{lat}(U)$  is equivalent to the category of right  $\Gamma$ -modules  $N \in \text{mod-}\Gamma$  which are finitely cogenerated by  $U_{\Gamma}$  (see Appendix).

**Theorem 1.** *For every artinian  $\tau$ -ring  $R$  there exists a cotilting bimodule  ${}_{\Lambda}U_{\Gamma}$  over artinian rings  $\Lambda, \Gamma$  such that  $R\text{-proj} \approx \mathbf{lat}(U)$ . Conversely, if  ${}_{\Lambda}U$  is a cotilting module over a left artinian ring  $\Lambda$  with  $\text{ind}(\mathbf{lat}(U))$  finite, then  $\Lambda$  and  $\Gamma := \text{End}_{\Lambda}(U)^{\text{op}}$  are artinian, and up to Morita equivalence, there is a unique artinian  $\tau$ -ring  $R$  with  $R\text{-proj} \approx \mathbf{lat}(U)$ .*

*Proof.* Let  $R$  be an artinian  $\tau$ -ring with  $\mathcal{A} := R\text{-proj}$ . We set  $P := \bigoplus(\mathbf{Proj}(\mathcal{A}) \cap \text{ind } \mathcal{A})$  and  $I := \bigoplus(\mathbf{Inj}(\mathcal{A}) \cap \text{ind } \mathcal{A})$ . Then  $\Lambda := \text{End}_{\mathcal{A}}(P)^{\text{op}}$  and  $\Gamma := \text{End}_{\mathcal{A}}(I)^{\text{op}}$  are artinian. By Proposition 6 and the cotilting theorem ([14], Theorem 6; see Appendix),  ${}_{\Lambda}U_{\Gamma} := \text{Hom}_{\mathcal{A}}(P, I)$  is a cotilting bimodule with  $\mathcal{A} \approx \mathbf{lat}(U)$ .

Conversely, let  ${}_{\Lambda}U_{\Gamma}$  be a cotilting bimodule with  $\Lambda$  left artinian such that  $\text{ind } \mathcal{A}$  is finite for  $\mathcal{A} := \mathbf{lat}(U)$ . We set  $R := \text{End}_{\mathcal{A}}(\bigoplus \text{ind } \mathcal{A})^{\text{op}}$ . Then  $R\text{-proj} \approx \mathcal{A}$ . Consider  $\mathcal{A}$  as a full subcategory of  $\Lambda\text{-mod}$ . Then  $\mathbf{Proj}(\mathcal{A}) = \Lambda\text{-proj}$  by [15], Lemma 4. Let  $C \in \text{Ind } \mathcal{A}$  be non-projective. Then there is a cokernel  $c: C'' \twoheadrightarrow C'$  and a morphism  $f: C \rightarrow C'$  in  $\mathcal{A}$  such that  $f$  does not factor through  $c$ . By [14], Proposition 12,  $\mathcal{A}$  is an almost abelian category (see Appendix). Therefore, the pullback of  $c$  and  $f$  yields a non-split short exact sequence  $A \xrightarrow{a} B \xrightarrow{b} C$  in  $\mathcal{A}$ . Consequently, there is an indecomposable direct summand  $D$  of  $A$  such that the projection  $a': A \twoheadrightarrow D$  does not factor

through  $a$ . So the pushout of  $a$  and  $a'$  yields a non-split short exact sequence  $D \xrightarrow{d} E \xrightarrow{e} C$  in  $\mathcal{A}$ . By the lemma of Harada and Sai (see [12], 2.2),  $\text{Rad } R$  is nilpotent. Hence there exists a morphism  $g: D \rightarrow D'$  in  $\text{Ind } \mathcal{A}$  that does not factor through  $d$  such that for each non-invertible  $h: D' \rightarrow D''$  in  $\text{Ind } \mathcal{A}$ , the composition  $hg$  factors through  $d$ . So the pushout of  $d$  and  $g$  yields a left almost split sequence  $D' \rightarrow E' \rightarrow C$ . For  $P \in \mathbf{Proj}(\mathcal{A})$ , the right almost split sequence in  $\mathcal{A}$  is given by  $0 \rightarrow (\text{Rad } \Lambda)P \rightarrow P$ . If we regard  $\mathcal{A}$  as a full subcategory of  $(\mathbf{mod}\text{-}\Gamma)^{\text{op}}$ , the preceding arguments can be dualized. Therefore, [18], Lemma 8, implies that  $\mathcal{A}$  is a strict  $\tau$ -category. Hence  $R$  is an artinian  $\tau$ -ring. Since the rings  $\Lambda, \Gamma$  are of the form  $eRe$  for some idempotent  $e \in R$ , they are artinian as well. Finally,  $R\text{-proj} \approx \mathcal{A}$  implies that  $R$  is unique up to Morita equivalence.  $\square$

## Appendix: The general cotilting theorem

In this appendix we give a brief explanation and a short proof of the cotilting theorem ([14], Theorem 6). Let  $\Lambda$  (resp.  $\Gamma$ ) be a left (resp. right) coherent ring. Then  $\Lambda\text{-mod}$  and  $\mathbf{mod}\text{-}\Gamma$  are abelian categories (see [17], Proposition 10). Every bimodule  ${}_{\Lambda}U_{\Gamma}$  with  ${}_{\Lambda}U$  and  $U_{\Gamma}$  finitely presented gives rise to an adjoint pair of additive functors

$$\Lambda\text{-mod} \begin{array}{c} \xrightarrow{E} \\ \xleftarrow{F} \end{array} (\mathbf{mod}\text{-}\Gamma)^{\text{op}} \quad (28)$$

with  $E := \text{Hom}_{\Lambda}(-, U)$  and  $F := \text{Hom}_{\Gamma}(-, U)$ . Conversely, we have the following version of Watt's theorem.

**Lemma 2.** *Every adjoint pair (28) is of the form  $E \cong \text{Hom}_{\Lambda}(-, U)$  and  $F \cong \text{Hom}_{\Gamma}(-, U)$  with a bimodule  ${}_{\Lambda}U_{\Gamma}$  such that  ${}_{\Lambda}U$  and  $U_{\Gamma}$  are finitely presented.*

*Proof.* Define  $U_{\Gamma} := E({}_{\Lambda}\Lambda)$ . Then the right operation of  $\Lambda$  on  ${}_{\Lambda}\Lambda$  makes  $U$  into a  $(\Lambda, \Gamma)$ -bimodule. For  $M \in \Lambda\text{-mod}$ , consider a presentation  $\Lambda^m \xrightarrow{a} \Lambda^n \rightarrow M$ . Since  $E$  is a left adjoint,  $EM = \text{Cok}(Ea)$  in  $(\mathbf{mod}\text{-}\Gamma)^{\text{op}}$ . Thus  $EM = \text{Ker } \text{Hom}_{\Lambda}(a, U)$  in  $\mathbf{mod}\text{-}\Gamma$ , i. e.  $E \cong \text{Hom}_{\Lambda}(-, U)$ . Hence  $FN = \text{Hom}_{\Lambda}(\Lambda, FN) \cong \text{Hom}_{\Gamma}(N, E\Lambda) \cong \text{Hom}_{\Gamma}(N, U)$  for all  $N \in \mathbf{mod}\text{-}\Gamma$ . In particular,  ${}_{\Lambda}U = \text{Hom}_{\Gamma}(\Gamma, U) \cong F\Gamma$  is finitely presented.  $\square$

For a given bimodule  ${}_{\Lambda}U_{\Gamma}$  we simply write  $(\ )^*$  for both functors  $\text{Hom}_{\Lambda}(-, U)$  and  $\text{Hom}_{\Gamma}(-, U)$ . Then the unit  $\eta$  and the counit  $\varepsilon$  of the adjunction are given

by

$$\eta_M : M \rightarrow M^{**}; \quad \varepsilon_N : N \rightarrow N^{**} \tag{29}$$

for  $M \in \Lambda\text{-mod}$  and  $N \in \text{mod-}\Gamma$ .

A pair

$$\mathcal{C} \begin{array}{c} \xrightarrow{E} \\ \xleftarrow{F} \end{array} \mathcal{B} \tag{30}$$

of additive functors with  $E \dashv F$  is said to be a *pre-equivalence* [15] if the unit is epic, and the counit is monic. Then (30) induces an equivalence  $\text{Im } F \approx \text{Im } E$ , and the category  $\mathcal{A} := \text{Im } F$  is *almost abelian*. This means that  $\mathcal{A}$  is pre-abelian, and cokernels (resp. kernels) are stable under pullback (pushout) [14]. Furthermore, the full subcategory  $\overline{\text{Im } E}$  (resp.  $\overline{\text{Im } F}$ ) of subobjects (quotient objects) of objects in  $\text{Im } E$  (resp.  $\text{Im } F$ ) is abelian. If  $\overline{\text{Im } E} = \mathcal{B}$  and  $\overline{\text{Im } F} = \mathcal{C}$ , we call (30) a *tilting*. In this case, up to isomorphism, the adjunction (30) is intrinsically determined by the almost abelian category  $\mathcal{A}$ . In other words, tiltings and almost abelian categories are essentially the same thing (see [15], Theorem 1). In the particular case (28) we have the following characterization.

**Theorem 2.** *An adjoint pair (28) is a tilting if and only if the corresponding bimodule  ${}_{\Lambda}U_{\Gamma}$  is cotilting. When these equivalent conditions hold,  $\mathbf{lat}(U)$  is the corresponding almost abelian category.*

*Proof.* Let  $\text{Cog}_{\Lambda}U$  denote the class of finitely generated submodules of some  $({}_{\Lambda}U)^n$ . We show first that the conditions (27) can be replaced by

$$\text{Ext}_{\Lambda}(M, U) = \text{Ext}_{\Gamma}(N, U) = 0 \text{ for } M \in \text{Cog}_{\Lambda}U \text{ and } N \in \text{Cog}_{\Gamma}U. \tag{31}$$

Assume (31). For any  $M \in \Lambda\text{-mod}$ , there is a short exact sequence  $M' \hookrightarrow \Lambda^n \twoheadrightarrow M$  with  $M' \in \Lambda\text{-mod}$ . Since an epimorphism  $\Gamma^m \twoheadrightarrow U$  gives an embedding  $\Lambda = \text{Hom}_{\Gamma}(U, U) \hookrightarrow \text{Hom}_{\Gamma}(\Gamma^m, U) = U^m$ , we have  $\Lambda^n \in \text{Cog}_{\Lambda}U$ . Hence  $\text{Ext}_{\Lambda}^2(M, U) = \text{Ext}_{\Lambda}^1(M', U) = 0$ . By duality, this proves (27). Conversely, let  $M \hookrightarrow U^n \twoheadrightarrow C$  be a short exact sequence in  $\Lambda\text{-mod}$ . Then

$$\text{Ext}_{\Lambda}^1(U^n, U) \rightarrow \text{Ext}_{\Lambda}^1(M, U) \rightarrow \text{Ext}_{\Lambda}^2(C, U)$$

is exact. Hence (27) implies (31).

Now let (28) be a tilting with corresponding bimodule  ${}_{\Lambda}U_{\Gamma}$ . Then  ${}_{\Lambda}\Lambda \in \overline{\text{Im } F}$  implies that there is an epimorphism  $N^* \twoheadrightarrow {}_{\Lambda}\Lambda$ . Since  $N^*$  is reflexive, i. e.  $\eta_{N^*}$  is invertible, we infer that  $\Lambda$  is reflexive. Hence  $\text{End}(U_{\Gamma}) = \Lambda$ , and

similarly,  $\text{End}({}_\Lambda U) = \Gamma$ . Any embedding  $M \hookrightarrow U^n$  in  $\Lambda\text{-mod}$  gives rise to a commutative diagram

$$\begin{array}{ccc} M & \hookrightarrow & U^n \\ \downarrow \eta_M & & \downarrow \eta_U \\ M^{**} & \longrightarrow & (U^n)^{**}. \end{array}$$

Since  $\eta_U$  is an isomorphism,  $\eta_M$  is monic. On the other hand, an epimorphism  $\Gamma^m \rightarrow N$  yields  $N^* \hookrightarrow U^m$ , i. e.  $N^* \in \text{Cog}_\Lambda U$ . Therefore,  $\text{Cog}_\Lambda U$  consists of the reflexive modules in  $\Lambda\text{-mod}$ . So for a given  $M \in \text{Cog}_\Lambda U$ , the modules in a short exact sequence  $K \xrightarrow{i} \Lambda^k \xrightarrow{p} M$  are reflexive. Applying  $(\ )^*$  gives  $M^* \xrightarrow{p^*} U^k \xrightarrow{i^*} K^*$  with  $p^* = \ker i^*$ . As a submodule of  $K^*$ ,  $\text{Cok } p^*$  is reflexive. Hence  $(\text{cok } p^*)^* \cong \ker p = i$ , and thus  $i^* = \text{cok } p^*$ . This proves that  $\text{Ext}_\Lambda(M, U) = 0$ .

Conversely, let  ${}_\Lambda U_\Gamma$  be cotilting, and  $M \in \Lambda\text{-mod}$ . Then a presentation  $\Lambda^m \rightarrow \Lambda^n \xrightarrow{p} M$  leads to a short exact sequence  $M^* \xrightarrow{p^*} U^n \rightarrow C$  and an embedding  $C \hookrightarrow U^m$ . By (31) it follows that  $p^{**}: \Lambda^n \rightarrow M \xrightarrow{\eta_M} M^{**}$  is epic. Hence  $\eta_M$  is epic. Since  $\Lambda$  and  $\Gamma$  are reflexive, and every object in  $\Lambda\text{-mod}$  (resp.  $\text{mod-}\Gamma$ ) is a factor module of a free module, (28) is a tilting.  $\square$

## References

- [1] F. W. Anderson, K. R. Fuller, *Rings and Categories of Modules*, Springer New York - Heidelberg - Berlin 1974.
- [2] M. Auslander, *Representation Theory of Artin Algebras II*, Commun. in Algebra **1** (1974), 269-310.
- [3] M. Auslander, *Functors and Morphisms determined by Objects*, in: Representation Theory of Algebras, Proc. Conf. Representation Theory, Philadelphia 1976 (Marcel Dekker 1978), pp. 1-244.
- [4] M. Auslander, S. O. Smalø, *Almost Split Sequences in Subcategories*, J. Algebra **69** (1981), 426-454.
- [5] R. R. Colby, *A generalization of Morita duality and the tilting theorem*, Comm. in Alg. **17** (1989), 1709-1722.
- [6] P. Gabriel, *Représentations indécomposables des ensembles ordonnés*, Sémin. Dubreil 1972-73, Paris, exposé 13, p. 1-10.
- [7] K. Igusa, G. Todoru, *Radical Layers of Representable Functors*, J. Algebra **89** (1984), 105-147.



- [8] K. Igusa, G. Todorov, *A Characterization of Finite Auslander-Reiten Quivers*, J. Algebra **89** (1984), 148-177.
- [9] O. Iyama,  *$\tau$ -categories I: Radical Layers Theorem, Algebras and Representation Theory*, to appear.
- [10] O. Iyama,  *$\tau$ -categories III: Auslander Orders and Auslander-Reiten quivers*, Algebras and Representation Theory, to appear.
- [11] L. A. Nazarova, A. V. Roïter, *Representations of partially ordered sets*, Zapiski Nauchn. Sem. LOMI **28** (1972), 5-31 = J. Soviet Math. **3** (1975), 585-606.
- [12] C. M. Ringel, *Report on the Brauer-Thrall conjectures: Roïter's theorem and the theorem of Nazarova and Roïter (On algorithms for solving vector space problems, I)*, Proc. of the Workshop on present trends in the representation theory and the second international conference on representations of algebras, Carleton Math. Lect. Notes 25 (1980).
- [13] C. M. Ringel, H. Tachikawa, *QF-3 rings*, J. Reine Angew. Math. **272** (1975), 49-72.
- [14] W. Rump, *Almost Abelian Categories*, 63pp., Cahiers de topologie et géométrie différentielle catégoriques, to appear.
- [15] W. Rump, *\*-Modules, Tilting, and Almost Abelian Categories*, 333pp., Commun. in Algebra, to appear.
- [16] W. Rump, *Derived orders and Auslander-Reiten quivers*, 17pp., An. St. Univ. Ovidius Constantza, to appear.
- [17] W. Rump, *Differentiation for orders and artinian rings*, Preprint.
- [18] W. Rump, *Lattice-finite rings*, Preprint.
- [19] W. Rump, *The category of lattices over a lattice-finite ring*, Preprint.
- [20] D. Simson, *Linear Representations of Partially Ordered Sets and Vector Space Categories*, Gordon and Breach, Amsterdam 1992.
- [21] W. Zimmermann, *Auslander-Reiten sequences over artin rings*, J. Algebra **119** (1988), 366-392.

Mathematisch-Geographische Fakultät,  
Katholische Universität Eichstätt,  
Ostenstrasse 26-28,  
D-85071 Eichstätt,  
Germany  
e-mail: wolfgang.rump@ku-eichstaett.de

