



# Magnetic Schrödinger operators with discrete spectra on non-compact Kähler manifolds

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## Abstract

We identify a class of magnetic Schrödinger operators on Kähler manifolds which exhibit pure point spectrum. To this end we embed the Schrödinger problem into a Dirac-type problem via a parallel spinor and use a Bochner-Weitzenböck argument to prove our spectral discreteness criterion.

## 1. Introduction

Let  $(M, g)$  be a complete non-compact oriented Riemannian manifold of dimension  $n \geq 2$ , with Riemannian metric  $g$ , and let  $a$  be a *real* 1-form on  $M$ , of class  $C^\infty$ . Then  $a$  induces a metric connection  $\nabla^a$  on the trivial Hermitian bundle  $M \times \mathbf{C}$ , identifiable to the first order differential operator

$$C^\infty(M, \mathbf{C}) \ni \phi \mapsto d^a \phi := d\phi + i\phi a \in C^\infty(M, T^*M \otimes \mathbf{C}),$$

where  $d$  represents ordinary exterior differentiation and  $i = \sqrt{-1}$ . As usual, the Riemannian metric allows one to consider pointwise Hermitian products  $\langle \cdot, \cdot \rangle_x$ ,  $x \in M$ , in the complexified cotangent bundle  $T^*M \otimes \mathbf{C}$  and, via the volume form, global (integrated) Hermitian products  $(\cdot, \cdot)$ , in the spaces  $C_{\text{cpt}}^\infty(M, \mathbf{C})$  and  $C_{\text{cpt}}^\infty(M, \mathbf{C} \otimes T^*M)$ . With respect to these products the formal adjoint  $(d^a)^*$  of  $d^a$  can be defined as a first order differential operator,

$$(d^a)^* : C^\infty(M, \mathbf{C} \otimes T^*M) \longrightarrow C^\infty(M, \mathbf{C}),$$

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and then the magnetic Schrödinger operator (magnetic bottle) with magnetic potential  $a$  is the second order differential operator  $H_a := (d^a)^*d^a$ , viewed as an unbounded operator in  $L^2(M, \mathbf{C})$ . (see Section 2 for more details). It is known that regardless of  $a$ ,  $H_a$  with domain  $C_{\text{cpt}}^\infty(M, \mathbf{C})$  is an essentially self-adjoint operator in  $L^2(M, \mathbf{C})$  [S1].

There is a great deal of work, especially on Euclidean spaces  $M = \mathbf{R}^n$ , dedicated to deciding which magnetic Schrödinger operators  $H_a$  have discrete spectrum, that is a spectrum consisting only in isolated eigenvalues of finite multiplicity [AHS, I, KS, A1]. Typically, these works provide sufficient conditions for spectral discreteness, in terms of the magnetic field  $B$  associated to  $a$ ,  $B := da$ .

The purpose of this note is to provide one more result along these lines, in the case  $M$  is a Kähler manifold with Kähler form  $\omega$  and Riemannian metric  $g$  naturally induced by  $\omega$ . This result can easily be seen to generalize that of [A1], when  $n$  is even.

**Theorem.** *Let  $M$  be a non-compact Kähler manifold with Kähler form  $\omega$  and Riemannian metric induced by  $\omega$ . Assume that  $H_a$  is a magnetic Schrödinger operator on  $M$  associated to a real 1-form  $a$  of class  $C^\infty$ . Then  $H_a$  has discrete spectrum if the real-valued function  $\langle B(x), \omega(x) \rangle$  on  $M$ , where  $\langle \cdot, \cdot \rangle$  denotes the natural pointwise inner product on 2-forms, satisfies the condition*

$$\lim_{x \rightarrow \infty} \langle B(x), \omega(x) \rangle = -\infty. \quad (1)$$

## 2. Magnetic Schrödinger operators on manifolds

Let  $(M, g)$  be a complete non-compact oriented Riemannian ( $C^\infty$ ) manifold of dimension  $n$ , equipped with the metric  $g$ . On the usual real  $C^\infty$ -bundles of  $p$ -forms on  $M$ ,  $\Lambda^p(T^*M)$ ,  $0 \leq p \leq n$ , consider the standard inner products  $\langle \cdot, \cdot \rangle_x$ ,  $x \in M$ . Specifically, if  $(e_1, e_2, \dots, e_n)$  is an oriented local orthonormal frame in the tangent bundle  $TM$ , with local dual frame of 1-forms in the cotangent bundle  $T^*M$ ,  $(e_1^*, e_2^*, \dots, e_n^*)$ , then a local orthonormal basis of  $\Lambda^p(T^*M)$  is  $\{e_J^*\}_J$ ,  $e_J^* := e_{j_1}^* \wedge e_{j_2}^* \wedge \dots \wedge e_{j_p}^*$ , where  $J$  runs through the set of all multi-indices  $1 \leq j_1 < j_2 < \dots < j_p \leq n$ .

There is a Levi-Civita metric connection  $\nabla^{\text{LC}}$  on  $\Lambda^p(T^*M)$ , extending naturally the Levi-Civita connection on  $T^*M$ , the exterior product connection; For a local vector field  $e$  in  $TM$  and local forms  $v^*$  in  $T^*M$  and  $\phi$  in  $\Lambda^p(T^*M)$ ,

$$\nabla_e^{\text{LC}}(v^* \wedge \phi) = \nabla_e^{\text{LC}}v^* \wedge \phi + v^* \wedge \nabla_e^{\text{LC}}\phi. \quad (2)$$

Denote now by  $\Omega^p(M, \mathbf{C}) := C^\infty(M, \Lambda^p(T^*M) \otimes \mathbf{C})$  the Hermitian vector space of  $C^\infty$  complex global  $p$ -forms and by

$$d : \Omega^p(M, \mathbf{C}) \longrightarrow \Omega^{p+1}(M, \mathbf{C})$$

the usual exterior differential. In terms of the complexified Levi-Civita metric connection  $\nabla^{\text{LC}}$  on  $\Lambda^p(T^*M) \otimes \mathbf{C}$ ,  $d$  can be written locally as

$$d = \sum_{j=1}^n e_j^* \wedge \nabla_{e_j}^{\text{LC}}.$$

Fix now  $a \in \Omega^1(M, \mathbf{R})$  a real global 1-form. Then the twisted differential  $d^a := d + ia \wedge$ , defined on  $\Omega^p(M, \mathbf{C})$  by

$$\Omega^p(M, \mathbf{C}) \ni \phi \longmapsto d^a \phi = d\phi + ia \wedge \phi \in \Omega^{p+1}(M, \mathbf{C}),$$

has the local frame counterpart

$$d^a = \sum_{j=1}^n e_j^* \wedge \nabla_{e_j}^{\text{LC}, a},$$

where  $\nabla^{\text{LC}, a}$  is the twisted metric connection on  $\Lambda^p(T^*M) \otimes \mathbf{C}$  defined by

$$\nabla_v^{\text{LC}, a} \phi = \nabla_v^{\text{LC}} \phi + ia(v)\phi, \quad v \text{ global vector field in } TM, \quad \phi \in \Omega^p(M, \mathbf{C}). \quad (3)$$

For  $\phi \in \Omega^p(M, \mathbf{C})$  and  $\psi \in \Omega_{\text{cpt}}^p(M, \mathbf{C})$  the global Hermitian product  $(\phi, \psi) := \int_M \langle \phi, \psi \rangle d\text{vol}$  induces the formal adjoint  $(d^a)^*$  of  $d^a$ ,

$$(d^a)^* : \Omega^{p+1}(M, \mathbf{C}) \longrightarrow \Omega^p(M, \mathbf{C}),$$

subject to

$$((d^a)^* \phi, \psi) = (\phi, d^a \psi), \quad \phi \in \Omega^{p+1}(M, \mathbf{C}), \quad \psi \in \Omega_{\text{cpt}}^p(M, \mathbf{C}).$$

It follows that locally

$$(d^a)^* = - \sum_{j=1}^n e_{j \lrcorner} \nabla_{e_j}^{\text{LC}, a},$$

where  $e_{j \lrcorner}$  denotes interior multiplication (contraction) by the local vector field  $e_j$ .

Making in the above discussion  $p = 0$  we get a second order differential operator

$$H_a := (d^a)^* d^a : C^\infty(M, \mathbf{C}) \longrightarrow C^\infty(M, \mathbf{C}).$$

Seen as an unbounded operator in  $L^2(M, \mathbf{C})$ , the completion of  $C_{\text{cpt}}^\infty(M, \mathbf{C})$  with respect to  $(\cdot, \cdot)$ ,  $H_a$  is called the (scalar) magnetic Schrödinger operator generated by the potential  $a$ . It is then a nice exercise to see that in a local frame,

$$H_a = - \sum_{j=1}^n (e_j + ia(e_j))^2 + \sum_{j=1}^n \left( \nabla_{e_j}^{\text{LC}} e_j + ia(\nabla_{e_j}^{\text{LC}} e_j) \right).$$

$H_a$  with domain  $C_{\text{cpt}}^\infty(M, \mathbf{C})$  can be closed in only one way in  $L^2(M, \mathbf{C})$ , i.e.,  $H_a$  is an essentially self-adjoint operator [S1].

In this note we will be interested in reasonably simple conditions on  $M$  and  $a$  which would ensure that  $H_a$  has pure point spectrum. We therefore conclude this section with a general criterion for spectral discreteness.

**Proposition 1.**  *$H_a$  being defined as above, if there is a function  $f \in C^0(M, \mathbf{R})$ ,  $\lim_{x \rightarrow \infty} f(x) = \infty$ , such that*

$$(H_a \phi, \phi) \geq (f \phi, \phi), \quad \phi \in C_{\text{cpt}}^\infty(M, \mathbf{C}), \quad (4)$$

then  $H_a$  has discrete spectrum.

*Proof.* We will supply a somewhat less traditional proof to this proposition. To this end, let  $W^2(M, a)$  be the domain of the unique closed extension of  $H_a$  from  $C_{\text{cpt}}^\infty(M, \mathbf{C})$  into  $L^2(M, \mathbf{C})$ .  $W^2(M, a)$  is the completion of  $C_{\text{cpt}}^\infty(M, \mathbf{C})$  with respect to the Sobolev inner product  $(\cdot, \cdot)_2 := (\cdot, \cdot) + (H_a \cdot, H_a \cdot)$ . Since  $H_a : W^2(M, a) \rightarrow L^2(M, \mathbf{C})$  is self-adjoint, its spectrum is contained in the real line.

To prove the proposition it suffices to show that for every  $\lambda \in \mathbf{R}$  the operator  $H_a - \lambda$  with domain  $W^2(M, a)$  is Fredholm, since for any Fredholm operator 0 is an isolated point of its spectrum, and in fact an eigenvalue with finite multiplicity.

Fix now a number  $\lambda \in \mathbf{R}$ . The assumption on the function  $f$  provides a compact subset  $K$  of  $M$  such that  $f(x) \geq \lambda + 1$ , if  $x \in M \setminus K$ . The hypothesis (4) and the density of  $C_{\text{cpt}}^\infty(M, \mathbf{C})$  in  $W^2(M, a)$  imply that

$$((H_a - \lambda)\phi, \phi) - ((f - \lambda)\phi, \phi)_K \geq (\phi, \phi)_{M \setminus K}, \quad \phi \in W^2(M, a), \quad (5)$$

where for a subset  $U$  of  $M$ ,  $(\cdot, \cdot)_U$  indicates integration is carried out only over  $U$ .

As in [A2],  $H_a - \lambda$  will be a Fredholm operator if we can show that any sequence  $\{\phi_n\}_n$  from  $W^2(M, a)$ , which is  $L^2$ -bounded and for which  $\{(H_a - \lambda)\phi_n\}_n$  is  $L^2$ -convergent, admits a  $L^2$ -convergent subsequence.

Since  $\{\phi_n\}_n$  is bounded in the Sobolev norm  $\|\cdot\|_2$ , by Rellich's lemma [S2] the sequence  $\{\phi_n|_K\}_n$  has a convergent subsequence in  $L^2(K, \mathbf{C})$  (assumed to be the sequence itself).

The property (5) applied now to the differences  $\{\phi_m - \phi_n\}_{m,n}$  shows that  $\{\phi_n|_{M \setminus K}\}_n$  is a Cauchy sequence in  $L^2(M \setminus K, \mathbf{C})$ . We conclude that  $\{\phi_n\}_n$  converges in the  $L^2$ -norm, since its restrictions to  $K$  and  $M \setminus K$  do so.  $\square$

### 3. Generalized Dirac operators

As mentioned in the introduction, our spectral discreteness analysis will come about by embedding the magnetic Schrödinger operator formalism into a Dirac-type framework. It is then desirable to briefly review here the concept of generalized Dirac bundle with its associated Dirac operator [GL].

If  $(M, g)$  is, as before, a complete non-compact oriented Riemannian manifold of dimension  $n$ , let  $Cl(M)$  be the real Clifford bundle of algebras induced by the tangent bundle  $TM$  and the Riemannian metric  $g$ . There is a canonical embedding  $TM \subset Cl(M)$ , and then the Riemannian metric and Levi-Civita connection extend from  $TM$  to  $Cl(M)$  in such a way that the connection  $\nabla^{LC}$  of  $Cl(M)$  preserves the metric and acts as a derivation.

A complex bundle of left modules over the bundle of algebras  $Cl(M)$ , say  $S \rightarrow M$ , will be called a (generalized) Dirac bundle if  $S$  is furnished with a Hermitian metric  $\langle \cdot, \cdot \rangle$  and a metric connection  $\nabla^S$  such that

- i)* The action on  $S$  by unit vectors in  $TM \subset Cl(M)$  is a pointwise isometry.
- ii)* The connection  $\nabla^S$  is compatible with the Clifford multiplication, in the sense that for local sections  $e$  in  $TM$ ,  $\phi$  in  $Cl(M)$ , and  $s$  in  $S$ , we have

$$\nabla_e^S(\phi \cdot s) = (\nabla_e^{LC} \phi) \cdot s + \phi \cdot (\nabla_e^S s).$$

Above, the “ $\cdot$ ” indicates the action of  $Cl(M)$  on  $S$ , while the multiplication in  $Cl(M)$  will be simply represented by juxtaposition. Since  $TM$  generates  $Cl(M)$ , the action  $\cdot$  of  $Cl(M)$  on  $S$  is completely determined by its restriction to  $TM$ .

There are several fundamental examples and constructs of Dirac bundles associated to  $M$ , which are relevant to us:

*a)*  $S = Cl(M) \otimes \mathbf{C}$ . In this case  $Cl(M)$  acts on  $S$  by left algebra multiplication and  $\nabla^S$  is the complexification of  $\nabla^{LC}$ .

*b)*  $S = \Lambda(T^*M) \otimes \mathbf{C}$ . This case, where  $\Lambda(T^*M)$  represents the real bundle of exterior forms on  $M$ , is relevant to our concept of magnetic Schrödinger operator, in the sense that the scalar concept we work with admits an extension to a concept of exterior form magnetic Schrödinger operator.

If  $(e_1, e_2, \dots, e_n)$  is a local frame in  $TM$  then the action  $\cdot$  of  $e_j$  on  $S$  is given by  $e_j \cdot = e_j^* \wedge -e_j \lrcorner$ .  $\nabla^S$  is the exterior form extension of the Levi-Civita connection  $\nabla^{LC}$  on  $T^*M$ , cf. (2). In fact case *b)* coincides with case *a)* under the canonical vector bundle linear isometry  $\Lambda(T^*M) \simeq Cl(M)$ ,  $e_j^* \mapsto e_{j_1} e_{j_2} \dots e_{j_p}$ . This is a vector bundle isomorphism which also preserves the Levi-Civita connections, but of course not an algebra bundle isomorphism.

*c)* For a Kähler manifold  $M$  of complex dimension  $m$  [GH] let  $\omega$  be the Kähler 2-form and let  $g$  be the Riemannian metric naturally induced on  $TM$  by  $\omega$ . Then the integrable complex structure  $J$  in the tangent bundle  $TM$  makes

$(TM, g)$  a Hermitian bundle, and there is a complex linear isometry between  $(TM, J)$  and the Hermitian bundle of  $(0, 1)$ -forms  $T^{*0,1}M \subset T^*M \otimes \mathbf{C}$ . Since  $M$  is Kähler this isometry takes the Levi-Civita connection of  $TM$  to the unique anti-holomorphic Hermitian connection  $\nabla_{\bar{z}}$  on  $T^{*0,1}M$ . Then  $S := \Lambda(T^{*0,1}M)$  is a Dirac bundle, when endowed with a Clifford multiplication similar to that of case *b*), via the above-said complex isometry, and with the exterior product connection induced by, and extending,  $\nabla_{\bar{z}}$  [B].

*d*) If  $M$  is a *spin* manifold [LM] then  $S$  can be taken to be the spinor bundle  $\Sigma(M)$  of  $M$ . To be more specific, for a spin manifold the principal  $SO(n)$ -bundle  $P_{SO}(M)$  of oriented frames in  $TM$  lifts to a principal Spin-bundle  $P_{Spin}(M)$ , equivariantly with respect to the 2-cover map  $Spin(n) \rightarrow SO(n)$ . The spinor bundle  $\Sigma(M)$  is then the fiber product  $\Sigma(M) := P_{Spin}(M) \times_{\mu} \Delta$ , where  $\Delta$  is an irreducible representation of the Euclidean Clifford algebra on  $n$  generators  $Cl_n \otimes \mathbf{C}$  and  $\mu$  is the unitary representation  $\mu : Spin(n) \rightarrow U(\Delta)$  induced by the left multiplication with elements of  $Spin(n) \subset Cl_n \otimes \mathbf{C}$ . We get then the compatible connection  $\nabla^{Spin}$  of  $\Sigma(M)$  by lifting the Riemannian connection on  $P_{SO}(M)$  to  $P_{Spin}(M)$ , via the Lie algebra isomorphism  $so(n) \simeq spin(n)$ .

*e*) If  $S$  is a Dirac bundle and  $F$  is any Hermitian bundle over  $M$ , equipped with a metric connection  $\nabla^F$ , then the twisted bundle  $S \otimes F$  is naturally a Dirac bundle, with Clifford multiplication induced by that of  $S$  and connection  $\nabla^{S \otimes F} := \nabla^S \otimes Id + Id \otimes \nabla^F$ .

Any Dirac bundle  $S$  generates a distinguished differential operator  $D_S : C^\infty(M, S) \rightarrow C^\infty(M, S)$ , the generalized Dirac operator, defined as follows: If  $m : T^*M \otimes S \rightarrow S$  denotes the restriction to  $T^*M$  (metrically identified with  $TM$ ) of the Clifford action  $\cdot$  of  $Cl(M)$  on  $S$ , then  $D_S = m \circ \nabla^S$ . Locally,  $D_S$  admits the representation

$$D_S = \sum_{j=1}^n e_j \cdot \nabla_{e_j}^S,$$

where as usual  $(e_1, e_2, \dots, e_n)$  is a local orthonormal frame in  $TM$ .

Since  $M$  is complete,  $D_S$  with domain  $C_{cpt}^\infty(M, S)$  is an essentially self-adjoint first order elliptic differential operator in  $L^2(M, S)$  [GL].

Clearly, the Dirac operator associated to  $S = \Lambda(T^*M) \otimes \mathbf{C}$  (case *b*) above) is  $d + d^*$ , where  $d$  is the exterior differential and  $d^*$  its formal adjoint, as in section 2.

In case *c*), when  $M$  is a Kähler manifold and  $S = \Lambda(T^{*0,1}M)$  the Dirac operator becomes  $\sqrt{2}(\bar{\partial} + \bar{\partial}^*)$ , where  $\bar{\partial}$  is the Dolbeault operator and  $\bar{\partial}^*$  its formal adjoint [B].

On a spin manifold  $M$  the Dirac operator associated to the spinor bundle  $\Sigma(M)$  of case *d*) is called the *classical* Dirac operator.

For the square of a generalized Dirac operator  $D_S$  the following Bochner-Witzenböck formula holds true [GL],

$$D_S^2 = (\nabla^S)^* \nabla^S + \mathcal{R}^S,$$

where  $\mathcal{R}^S$  is the Hermitian curvature bundle morphism acting on  $S$  according to the formula

$$\mathcal{R}^S = \sum_{j < k} e_j \cdot e_k \cdot R_{e_j, e_k}^S, \quad R_{e_j, e_k}^S = [\nabla_{e_j}^S, \nabla_{e_k}^S] - \nabla_{[e_j, e_k]}^S.$$

In case b),  $\mathcal{R}^{\Lambda(T^*M) \otimes \mathbf{C}}$  preserves  $\Lambda^p(T^*M) \otimes \mathbf{C}$  and evidently,  $\mathcal{R}^{\Lambda(T^*M) \otimes \mathbf{C}}|_{\Lambda^0(T^*M) \otimes \mathbf{C}} = 0$ .

In case d),  $\mathcal{R}^{\Sigma(M)} = k/4$ , where  $k$  is the scalar curvature of the spin manifold  $M$  (Lichnerowicz's theorem [LM]).

In case e),  $\mathcal{R}^{S \otimes F}$  can be written as

$$\mathcal{R}^{S \otimes F} = \mathcal{R}^S \otimes Id + \sum_{j < k} e_j \cdot e_k \cdot \otimes R_{e_j, e_k}^F. \quad (6)$$

If  $F = \mathbf{C}_a$ , the trivial bundle  $M \times \mathbf{C}$  equipped with the metric connection  $\nabla^a$  associated to some real 1-form  $a \in \Omega^1(M, \mathbf{R})$ , as in the introduction, then  $S \otimes \mathbf{C}_a = S$ , and so (6) becomes  $\mathcal{R}^{S \otimes \mathbf{C}_a} = \mathcal{R}^S + i\rho^a \cdot$ , where  $\rho^a$  is the global section of  $Cl(M)$  given by

$$\rho^a = \sum_{j < k} R_{e_j, e_k}^a e_j e_k, \quad R_{e_j, e_k}^a = e_j(a(e_k)) - e_k(a(e_j)) - a([e_j, e_k]). \quad (7)$$

It is elementary to see that under the linear isometry  $\Lambda(T^*M) \simeq Cl(M)$  explained at case b) above,  $\rho^a \in C^\infty(M, Cl(M))$  is the image of the real 2-form  $B = da \in \Omega^2(M, \mathbf{R})$ .

Finally, if  $S = \Lambda(T^*M) \otimes \mathbf{C}$  and  $F = \mathbf{C}_a$ , then  $\nabla^{(\Lambda(T^*M) \otimes \mathbf{C}) \otimes \mathbf{C}_a} = \nabla^{\text{LC}, a}$ , in the notation of section 2, cf. (3). The connection Laplacian  $(\nabla^{\text{LC}, a})^* \nabla^{\text{LC}, a}$  can then be called an exterior form magnetic Schrödinger operator, since it restricts to  $H_a$  on  $\Omega^0(M, \mathbf{C})$ .

#### 4. Our results

We are now ready to state and prove an abstract discreteness criterion for certain  $H_a$ 's and, as an application, supply a proof to the theorem given in the introduction.

**Proposition 2.** *Suppose that are given a non-compact Riemannian manifold  $(M, g)$ , a real 1-form  $a \in \Omega^1(M, \mathbf{R})$  with associated scalar Schrödinger operator  $H_a$ , and a generalized Dirac bundle  $S$  over  $M$  with Clifford multiplication  $\cdot$ , compatible connection  $\nabla^S$ , and Dirac operator  $D_S$ .*

In addition, suppose that there exists a  $\nabla^S$ -parallel global section  $\sigma \in C^\infty(M, S)$  such that

$$\lim_{x \rightarrow \infty} \langle i\rho^a \cdot \sigma, \sigma \rangle = -\infty, \quad (8)$$

where  $\rho^a$  is the global section of  $Cl(M)$  given by (7). Then the magnetic Schrödinger operator  $H_a$  has discrete spectrum.

*Proof.* Consider the twisted Dirac bundle  $S \otimes \mathbf{C}_a$  and its Dirac operator  $D_{S \otimes \mathbf{C}_a}$ . We have the Bochner-Weitzenböck formula

$$D_{S \otimes \mathbf{C}_a}^2 = (\nabla^{S \otimes \mathbf{C}_a})^* \nabla^{S \otimes \mathbf{C}_a} + \mathcal{R}^S + i\rho^a \cdot,$$

which will be applied to sections of type  $\phi\sigma = \sigma \otimes \phi \in C_{\text{cpt}}^\infty(M, S \otimes \mathbf{C}_a)$ , for arbitrary  $\phi \in C_{\text{cpt}}^\infty(M, \mathbf{C})$ .

Therefore,

$$(D_{S \otimes \mathbf{C}_a}^2(\phi\sigma), \phi\sigma) = (\nabla^{S \otimes \mathbf{C}_a} \sigma \otimes \phi, \nabla^{S \otimes \mathbf{C}_a} \sigma \otimes \phi) + (\phi \mathcal{R}^S \sigma, \rho\sigma) + (i\phi \rho^a \cdot \sigma, \phi\sigma). \quad (9)$$

However,  $\nabla^{S \otimes \mathbf{C}_a} \sigma \otimes \phi = \nabla^S \sigma \otimes \phi + \sigma \otimes d^a \phi = \sigma \otimes d^a \phi$ , since  $\sigma$  is  $\nabla^S$ -parallel. For the same reason,  $\mathcal{R}^S \sigma = 0$ . By the hypothesis (8),  $\sigma$  is non-trivial, and since  $\nabla^S$  is a metric connection,  $\langle \sigma, \sigma \rangle$  is a (positive) constant function on  $M$ . By scaling  $\sigma$  appropriately we can assume that  $\langle \sigma, \sigma \rangle = 1$ .

Consequently,  $(\nabla^{S \otimes \mathbf{C}_a} \sigma \otimes \phi, \nabla^{S \otimes \mathbf{C}_a} \sigma \otimes \phi) = (\sigma \otimes d^a \phi, \sigma \otimes d^a \phi) = \int_M \langle \sigma, \sigma \rangle \langle d^a \phi, d^a \phi \rangle d\text{vol} = \int_M \langle d^a \phi, d^a \phi \rangle d\text{vol} = (H_a \phi, \phi)$ .

Equation (9) now becomes

$$\|D_{S \otimes \mathbf{C}_a}(\phi\sigma)\|^2 = (H_a \phi, \phi) + (\langle i\rho^a \cdot \sigma, \sigma \rangle \phi, \phi),$$

which implies

$$(H_a \phi, \phi) \geq -(\langle i\rho^a \cdot \sigma, \sigma \rangle \phi, \phi).$$

The result follows by applying Proposition 1 to the function  $f = -i\langle \rho^a \cdot \sigma, \sigma \rangle$ , in the presence of the hypothesis (8).  $\square$

A successful application of the above proposition rests obviously on the ability of finding Dirac bundles with non-trivial parallel sections  $\sigma$  for which  $\langle \rho^a \cdot \sigma, \sigma \rangle$  can be effectively computed. This is indeed the case with the theorem stated in the introduction.

*Proof of the Theorem.* For a Kähler manifold of complex dimension  $m$ ,  $n = 2m$ . If  $\omega$  is the Kähler form inducing the Riemannian metric  $g$  and if  $J$  is the integrable complex structure on  $TM$  then there is a local orthonormal frame  $(e_1, Je_1, e_2, Je_2, \dots, e_m, Je_m)$  in  $TM$  such that  $\omega = e_1^* \wedge (Je_1)^* + e_2^* \wedge (Je_2)^* + \dots + e_m^* \wedge (Je_m)^*$ . Expanding on the discussion on Kähler manifolds initiated in



section 3, case  $c$ ),  $T^{*0,1}M$  is the space dual to  $T^{0,1}M := \{v \in T^*M \otimes \mathbf{C} \mid Jv = -iv\}$ . Since a local orthonormal basis of  $T^{0,1}M$  is  $\{\bar{e}_1, \bar{e}_2, \dots, \bar{e}_m\}$ ,  $\bar{e}_j := \frac{1}{\sqrt{2}}(e_j + iJe_j)$ , a local orthonormal basis of  $T^{*0,1}M$  will be  $\{\bar{e}_1^*, \bar{e}_2^*, \dots, \bar{e}_m^*\}$ , with  $\bar{e}_j^* := \frac{1}{\sqrt{2}}(e_j^* - i(Je_j)^*)$ . So, for the Dirac bundle  $\Lambda(T^{*0,1}M)$  a local orthonormal basis for  $\Lambda^p(T^{*0,1}M)$  is  $\{\bar{e}_J^*\}_J$ ,  $\bar{e}_J^* = \bar{e}_{j_1}^* \wedge \bar{e}_{j_2}^* \wedge \dots \wedge \bar{e}_{j_p}^*$ ,  $J = (j_1, j_2, \dots, j_p)$   $p$ -multi-index.

The Clifford multiplication in  $\Lambda(T^{*0,1}M)$  is then implemented by setting

$$e_j \cdot = \bar{e}_j^* \wedge - \bar{e}_{j\perp}, \quad (Je_j) \cdot = i(\bar{e}_j^* \wedge + \bar{e}_{j\perp}), \quad j = 1, 2, \dots, m. \quad (10)$$

In preparation for applying proposition 2 notice that  $\sigma := 1 \in C^\infty(M, \Lambda^0(T^{*0,1}M))$  is a parallel section of  $\Lambda(T^{*0,1}M)$ . An elementary calculation based on (10) and (7) shows now that

$$\langle i\rho^a \cdot \sigma, \sigma \rangle = \sum_{j=1}^m R_{e_j, Je_j}^a.$$

The theorem follows from proposition 2 and the hypothesis (1), since  $a = \sum_{j=1}^m a(e_j)e_j^* + \sum_{j=1}^m a(Je_j)(Je_j)^*$  implies  $\langle da, \omega \rangle = \sum_{j=1}^m R_{e_j, Je_j}^a = \langle i\rho^a \cdot \sigma, \sigma \rangle$ .  $\square$

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