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# RÜCKERT NULLSTELLENSATZ FOR NORMED, NON-DISCRETE FIELDS USING NON-STANDARD ANALYSIS

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*Dedicated to Professor Mirela Ștefănescu on the occasion of her 60th birthday*

## Abstract

Rückert Nullstellensatz is the analogous for convergent series over  $K^n$  ( $K$ -normed, non-discrete, complete field) of the well-known Hilbert Nullstellensatz for polynomials over an algebraically closed field. For  $K$  an arbitrary algebraically closed (normed, non-discrete, complete) field, the Rückert Nullstellensatz is proved in [A] using algebraic methods. The particular case  $K = \mathbf{C}$  (= the field of complex numbers) is proved, for instance, in [Tg] using Puiseux series and in [Ro2] using generic points in a non-standard context. In this note we prove a new version of the Rückert Nullstellensatz for the extension  $K \subset \tilde{K}$ , where  $K$  is a normed, non-discrete, complete field and  $\tilde{K}$  is the completion of the algebraic closure of  $K$  (see Theorem 2.2). When  $K$  is algebraically closed, we obtain, as a Corollary (Corollary 2.3), the Rückert Nullstellensatz from [A]. The proof consists in clarifications and adaptations of the proof from [Ro2] to the present context. We also use [I].

## 1 Germs on $K^n$

For the non-standard context we use the notations, terminology and Principles from [Ro1], [Dv] and for the standard context the notations, terminology and Theorems from [ACJ], part. I.

**1.1.** Let  $K$  be a normed (the norm will be denoted by  $|\cdot|$ ), non-discrete, complete field; then  $K^n$  becomes, naturally, a (complete) metric space. We define on  $\mathcal{P}(K^n)$  the following (equivalence) relation: if  $p \in K^n$  and  $A_1, A_2 \subset$

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$K^n$ ,  $p \in A_1 \cap A_2$ , then  $A_1 \sim A_2$  if and only if there is an open neighborhood  $U$  of  $p$  in  $K^n$  such that  $A_1 \cap U = A_2 \cap U$ . The classes of equivalence of the previous relation are called the *germs of sets in  $p$* .

We consider the following (well-defined) relations:

1) If  $\alpha, \beta$  are germs of sets in  $p$ , then  $\alpha \leq \beta$  if and only if  $(\exists)A_1 \in \alpha$ ,  $(\exists)A_2 \in \beta$ ,  $(\exists)U =$  open neighborhood of  $p$  in  $K^n$  such that  $A_1 \cap U \subseteq A_2 \cap U$ . It follows that  $\alpha = \beta$  if and only if  $\alpha \leq \beta$  and  $\beta \leq \alpha$ .

2) If  $\alpha, \beta, \gamma$  are germs of sets in  $p$ , then  $\gamma = \alpha \wedge \beta$  if and only if  $(\exists)A_1 \in \alpha$ ,  $(\exists)A_2 \in \beta$ ,  $(\exists)A_3 \in \gamma$ , such that  $A_1 \cap A_2 = A_3$ .

3) If  $\alpha, \beta, \gamma$  are germs of sets in  $p$ , then  $\gamma = \alpha \vee \beta$  if and only if  $(\exists)A_1 \in \alpha$ ,  $(\exists)A_2 \in \beta$ ,  $(\exists)A_3 \in \gamma$ , such that  $A_1 \cup A_2 = A_3$ .

Let there be (cf. [ACJ])

$$\mathcal{A}_{n,p} : \{f : A \subset K^n \rightarrow K \mid f \text{ analytic on } A \text{ and } (\exists)U \subset K^n, \\ \text{open neighborhood of } p, U \subset A\} = \mathcal{A}_{n,p,K}.$$

We consider on  $\mathcal{A}_{n,p}$  the following (equivalence) relation:  $f_1 \sim f_2$  if and only if  $(\exists)U \subset K^n$ , open neighborhood of  $p$ , such that  $f_1|_U = f_2|_U$ . A class of functions as before is called a *germ of analytic function in  $p$* . Let's denote by  $\mathcal{O}_{n,p}$  the set of germs of analytic functions in  $p$ . Since  $K$  is a (commutative) ring, it is easy to see that  $\mathcal{O}_{n,p} = (\mathcal{O}_{n,p}, +, \cdot)$  can be naturally organized as a (commutative) ring with identity. If necessary, we also write  $\mathcal{O}_{n,p} = \mathcal{O}_{n,p,K}$ .

Let  $K \subset L$  be an extension of normed, non-discrete, complete fields.

If  $p \in K^n$  and  $S \subset \mathcal{O}_{n,p}$  is a finite set, *the associated variety* of  $S$  in  $L$  is

$$\mathcal{V}_L(S) := \bigwedge \{\varphi^{-1}(0) \mid \varphi \in S\};$$

here  $\varphi^{-1}(0)$  is the class of  $f^{-1}(0)$  for some  $f \in \varphi$ .

If  $p \in K^n$  and  $\alpha$  is a germ of sets from  $L^n$  in  $p$ , then *the ideal of  $\alpha$*  is the set

$$\mathcal{I}(\alpha) := \{\varphi \in \mathcal{O}_{n,p,K} \mid \alpha \leq \varphi^{-1}(0)\} \in \text{Id}(\mathcal{O}_{n,p,K}).$$

If  $I$  is an ideal in some ring  $R$ , then the radical of  $I$  is

$$\sqrt{I} := \{x \in R \mid (\exists)n \in \mathbf{N}^*, x^n \in I\}.$$

The germs of sets are not usual sets and the germs of analytic functions are not usual analytic functions. We'll show how, by using non-standard methods, we can replace the germs of sets by sets and the germs of analytic functions by analytic functions (following [Ro2]).

**1.2.** We recall here some results from [Ro1] and [Dv].

We consider that all objects we need belong to a standard universe  $\mathcal{U}$ , endowed with a language  $\mathcal{L} = (\equiv, \in)$ . Let's denote by  ${}^*\mathcal{U}$  the corresponding

non-standard universe (an enlargement of  $\mathcal{U}$ ) and by  ${}^*\mathcal{L} = ({}^*\equiv, {}^*\in)$  the corresponding language. If  $T$  is a standard object in  $\mathcal{U}$ , we denote by  ${}^*T$  its enlargement in  ${}^*\mathcal{U}$ ; if  $s$  is a sentence of  $\mathcal{L}$ , we denote by  ${}^*s$  its extension to  ${}^*\mathcal{L}$  (i.e. we keep all the logic connectors and the bounded quantifiers and their order; we replace the constants and objects  $T$  from  $s$  with the corresponding  ${}^*T$ ). In the non-standard universe some Principles hold. We recall here two of them, useful in the sequel.

(T.P.) **Transfer Principle:** *Let  $s$  be a sentence of  $\mathcal{L}$ . Then*

$${}^*\models {}^*s \quad \text{if and only if} \quad \models s.$$

(We write  $\models s$  if and only if  $s$  holds in  $\mathcal{U}$  and  ${}^*\models {}^*s$  if and only if  ${}^*s$  holds in  ${}^*\mathcal{U}$ .)

Let  $r$  be a binary relation  $r \in \mathcal{U}$ . We denote by  $\text{dom}(r) := \{x | (\exists)y \text{ such that } (x, y) \in r\}$ . The relation  $r$  is called *concurrent* if for any finite set  $\{a_1, \dots, a_m\} \subset \text{dom}(r)$ , there is  $b$  such that  $(a_i, b) \in r$ ,  $i = \overline{1, m}$ .

(C.P.) **Concurrence Principle:** *Let  $r$  be a concurrent relation in  $\mathcal{U}$ . Then there is an element  $b \in {}^*\mathcal{U}$  such that  $({}^*a, b) \in {}^*r$ , for all  $a \in \text{dom}(r)$ .*

**1.3.** Let's consider now an extension  ${}^*K^n$  of  $K^n$ ; then  ${}^*K^n$  is a normed, non-discrete, non-complete space,  $K^n \subset {}^*K^n$ . If  $p \in K^n$ , the *halo* of  $p$  is

$$\text{hal}_n(p) := \{q \in {}^*K^n | {}^*d(p, q) \simeq 0\}.$$

Here, for  $x \in {}^*\mathbf{R}$  (= the field of hyperreal numbers), we write  $x \simeq 0$  if  ${}^*|x| < \varepsilon$ ,  $(\forall)\varepsilon \in \mathbf{R}$ ,  $\varepsilon > 0$ ;  ${}^*d$  is the extension with hyperreal values to  ${}^*K^n$  of the usual metric  $d$  on  $K^n$ . If  $p = (p_1, \dots, p_n) \in K^n$ ,  $p_i \in K$ ,  $i = \overline{1, n}$ , then

$$\text{hal}_n(p) = \text{hal}_1(p_1) \times \text{hal}_1(p_2) \times \dots \times \text{hal}_1(p_n).$$

We can see that

$$\text{hal}_n(p) = \cap \{{}^*U | U \text{ is an open neighborhood of } p \text{ in } K^n\}.$$

Let  $\tau$  be the (metric) topology on  $K^n$ . Then the elements of  ${}^*\tau$  are the  ${}^*$ -open sets from  ${}^*K^n$ . It can be seen that there is a  ${}^*$ -open set  $\nu \subseteq \text{hal}_n(p)$  (indeed, apply the Concurrence Principle (C.P.) to the concurrent relation:  $U r V$  if and only if  $U, V \in \tau$  and  $p \in V \subseteq U$ ).

**Definition 1.3.1.** A set  $\alpha \in {}^*K^n$  is called a *germ of non-standard sets in  $p$*  if  $(\exists)A \subseteq K^n$  such that  $\alpha = {}^*A \cap \text{hal}_n(p)$ . A function  $\varphi : \text{hal}_n(p) \rightarrow {}^*K$  is called a *germ of non-standard analytic functions in  $p$*  if  $(\exists)\psi \in \mathcal{O}_{n,p}$ ,  $(\exists)f \in \psi$  such that  $\varphi = {}^*f|_{\text{hal}_n(p)}$  (it is easy to see that  $A \sim B \Rightarrow {}^*A \cap \text{hal}_n(p) = {}^*B \cap \text{hal}_n(p)$  and  $f \sim g \Rightarrow {}^*f|_{\text{hal}_n(p)} = {}^*g|_{\text{hal}_n(p)}$ ).

Let's denote by  $\mathcal{G}_{n,p}$  the lattice of germs of sets in  $p$ , by  $\mathcal{N}_{n,p}$  the lattice of germs of non-standard sets in  $p$  (with the usual operations on sets) and by

$\Gamma_{n,p}$  the ring of germs of non-standard analytic functions in  $p$  (with the usual operations on functions); we recall that  $\mathcal{O}_{n,p}$  is the set of germs of analytic functions in  $p$ . If necessary, we write  $\mathcal{N}_{n,p,K}$ ,  $\mathcal{G}_{n,p,K}$ ,  $\Gamma_{n,p,K}$ ,  $\mathcal{O}_{n,p,K}$ . We define the following functions:

$$\sigma : \mathcal{N}_{n,p} \rightarrow \mathcal{G}_{n,p}, \quad \delta : \Gamma_{n,p} \rightarrow \mathcal{O}_{n,p} \quad \text{by}$$

$$\sigma(*A \cap \text{hal}_n(p)) = [A] \quad (= \text{the germ of sets in } p \text{ with the representative } A)$$

$$\delta(*f|_{\text{hal}_n(p)}) = [f] \quad (= \text{the germ of analytic functions in } p \text{ with representative } f).$$

Let's prove that  $\sigma$  is well-defined. If  $*A \cap \text{hal}_n(p) = *B \cap \text{hal}_n(p)$ , we consider the sentence

$$s = (\exists x \in \tau)(p \in x \wedge A \cap x = B \cap x).$$

Then

$$*s = (\exists x \in *\tau)(p \in x \wedge *A \cap x = *B \cap x).$$

But  $*s$  is true, since any  $*$ -open set  $S \subset \text{hal}_n(p)$  satisfies  $*A \cap \nu = *B \cap \nu$ , and we proved that such a  $\nu$  exists (before the Definition 1.3.1). By the Transfer Principle (T.P.) we deduce that  $s$  is true, so  $A \sim B$ , hence  $[A] = [B]$ .

As for  $\delta$ , if  $*f|_{\text{hal}_n(p)} = *g|_{\text{hal}_n(p)}$ , then for any  $*$ -open set  $\nu \subset \text{hal}_n(p)$  we have  $*f|_{\nu} = *g|_{\nu}$ . Again by the Transfer Principle (T.P.) we deduce that the sentence

$$(\exists x \in \tau)(p \in x \wedge f|_x = g|_x)$$

is true, so  $f \sim g$ , hence  $[f] = [g]$ .

Further, it is straightforward to prove that  $\sigma$  and  $\delta$  are isomorphisms (of lattices and rings, respectively).

**1.4.** In 1.1 we defined, for  $K \subset L$  an extension of normed, non-discrete, complete fields, the variety  $\mathcal{V}_L(S)$  associated to a finite set  $S \subset \mathcal{O}_{n,p,L}$  of germs of analytic functions in  $p \in K^n$ . Now we define *the non-standard variety*  $\mathcal{V}_L(S)$  associated to a finite set  $S \subset \Gamma_{n,p,L}$  of germs of non-standard analytic functions. So, let  $S \subset \Gamma_{n,p,L}$  be a set as before. Then, in this context

$$\mathcal{V}_L(S) := \cap \{\varphi^{-1}(0) | \varphi \in S\}.$$

For  $p \in K^n \subset L^n$ , we denote by  $\text{hal}_n(p) = \text{hal}_n^K(p)$  the halo of  $p$  in  $K^n$  and by  $\text{hal}_n^L(p)$  the halo of  $p$  in  $L^n$  (clearly,  $\text{hal}_n(p) \subset \text{hal}_n^L(p)$ ).

Now,

$$\varphi^{-1}(0) = (*f|_{\text{hal}_n(p)})^{-1}(0) = \{x \in \text{hal}_n^L(p) | *f(x) = 0\} \subset \text{hal}_n^L(p)$$

is a set of points, so an usual set (here  $\varphi = *f|_{\text{hal}_n(p)}$ ).

It is easy to see, since  $\sigma$  and  $\delta$  are isomorphisms, that, if  $\varphi_i = *f_i|_{\text{hal}_n(p)}$ ,  $i = \overline{1, m}$ , we have

$$\sigma(\mathcal{V}_L(\varphi_1, \dots, \varphi_m)) = \mathcal{V}_L(\delta(\varphi_1), \dots, \delta(\varphi_m))$$

(use the definitions of  $\mathcal{V}(S)$  from 1.1 and 1.4).

**1.5.** Let  $f : V \subset K^n \rightarrow K$  be an analytic function on an open neighborhood  $V$  of the origin and put

$$f(t_1, \dots, t_n) = \sum_{j \geq 0} f_j(t_1, \dots, t_n),$$

where  $f_j$  is a homogeneous polynomial of degree  $j$ , for any  $j \geq 0$ . We say that  $f$  is *regular in  $t_n$  of order  $k > 0$*  if  $f_j \equiv 0$ ,  $(\forall) j < k$  and  $t_n^k$  has a non-zero coefficient in  $f_k$ . If  $f = \sum_{j \geq k} f_j$ ,  $f_k \neq 0$ , it is easy to find a non-singular linear transformation  $t_j \rightarrow t'_j$ , transforming  $f$  into a regular function of order  $k$  in  $t'_n$ .

Let  $\mathcal{O}_n := \mathcal{O}_{n,0}$ . A *Weierstrass polynomial of degree  $k > 0$  in  $t_n$*  is a function  $h \in \mathcal{O}_n$  of the form

$$h(t_1, \dots, t_n) = t_n^k + a_1(t_1, \dots, t_{n-1})t_n^{k-1} + \dots + a_k(t_1, \dots, t_{n-1}),$$

where  $a_j \in \mathcal{O}_{n-1}$  and  $a_j(0, \dots, 0) = 0$ ,  $j = \overline{1, k}$ .

A *germ of non-standard analytic functions regular in  $t_n$  of order  $k > 0$*  (resp. of non-standard polynomials in  $t_n$ , resp. of non-standard Weierstrass polynomials of degree  $k > 0$  in  $t_n$ ) is  $f^*|_{\text{hal}_n(0)}$ , where  $f$  is an analytic function regular in  $t_n$  of degree  $k > 0$  (resp. a polynomial in  $t_n$ , resp. a Weierstrass polynomial of degree  $k > 0$  in  $t_n$ ). By the Transfer Principle (P.T.) we have the following non-standard versions of the well-known (see [ACJ]) Weierstrass Preparation and Division Theorems:

**Theorem 1.5.1.** (non-standard Weierstrass Preparation): *Let  $\varphi$  be a germ of non-standard analytic functions in the origin, regular of order  $k > 0$  in  $t_n$ . Then there is a germ of non-standard Weierstrass polynomials of degree  $k$  in  $t_n$ , denoted by  $\omega$ , and a germ of non-standard analytic functions in the origin, denoted by  $\psi$ , such that  $\psi(0) \neq 0$  and  $\varphi = \omega \cdot \psi$ .*

**Theorem 1.5.2.** (non-standard Weierstrass Division): *Let  $\omega$  be a germ of non-standard Weierstrass polynomials of degree  $k$  in  $t_n$  and  $\varphi$  a germ of non-standard analytic functions in the origin. Then, there is a germ of non-standard analytic functions, denoted by  $\Delta$ , and a germ of non-standard polynomials of degree  $< k$  in  $t_n$ , denoted by  $\rho$ , such that  $\varphi = \omega \cdot \Delta + \rho$ .*

## 2 Rückert Nullstellensatz

Let  $K$  be a non-discrete, complete normed field  $K = (K, |\cdot|_K)$ . Let  $\bar{K}$  be an algebraic closure of  $K$ . One knows that  $|\cdot|_K$  extends uniquely to a non-discrete norm  $|\cdot|_{\bar{K}}$  on  $\bar{K}$  ([La], page 291), not necessarily complete. Let's denote by  $\tilde{K} = \hat{K}$  (the completion of  $\bar{K}$ ) (see [La], page 286),  $\tilde{K} = (\tilde{K}, |\cdot|_{\tilde{K}})$ .

**Lemma 2.1.**  *$K \subset \tilde{K}$  and  $\tilde{K}$  is an algebraically closed, non-discrete, complete normed field.*

**Proof.** If  $K = (K, |\cdot|_K)$  is archimedean, then the characteristic of  $K$  is zero (if not,  $|\cdot|_K|_P$ ,  $P$  = the prime field of  $K$  is bounded, so  $|\cdot|_K$  is non-archimedean, by [IM], page 12), so  $\mathbf{Q} \subset K$ . We denote by  $|\cdot|_{\mathbf{Q}} := |\cdot|_K|_{\mathbf{Q}}$ , so  $|\cdot|_{\mathbf{Q}} = |\cdot|^\alpha$ ,  $0 < \alpha \leq 1$ , where  $|\cdot|$  is the usual module on  $\mathbf{Q}$ , by Ostrowschi Theorem ([IM], page 15). But  $K$  is complete, so  $K \supset \hat{\mathbf{Q}} = \mathbf{R}$  (the completion of  $\mathbf{Q}$ , any two norms on  $\mathbf{R}$  being equivalent, by [La], Prop. 3, page 288). By Ghelfand-Mazur Theorem ([La], page 290), we deduce that  $K = \mathbf{R}$  or  $K = \mathbf{C}$ , so  $\bar{K} = \mathbf{C}$ , so  $\tilde{K} = \mathbf{C}$ , i.e. an algebraically closed, non-discrete, complete normed field.

If  $K$  is non-archimedean, take  $\bar{K}$  = the algebraic closure of  $K$ , which is again a non-archimedean field. But then  $\tilde{K} = \hat{\bar{K}}$  (the completion of  $\bar{K}$ ) remains algebraically closed by [R], page 146. □

Let  $\mathcal{O}_n := \mathcal{O}_{n,0,K}$  and  $\tilde{\mathcal{O}}_n := \mathcal{O}_{n,0,\tilde{K}}$  (see 1.1). Clearly,  $\mathcal{O}_n \subset \tilde{\mathcal{O}}_n$ . Considering the extension  $K \subset \tilde{K}$  of normed, non-discrete, complete fields, we recall that we defined in 1.1  $\mathcal{V}_{\tilde{K}}(S)$ , for  $S \subset \tilde{\mathcal{O}}_n$  a finite set and  $I(\alpha)$  if  $\alpha \in \mathcal{G}_{n,0,\tilde{K}}$  (notation from 1.3). If  $I \in Id(\mathcal{O}_n)$  is an ideal, it is finitely generated (use noetherianity) by, say,  $\varphi_1, \dots, \varphi_m$ . Then  $\mathcal{V}_{\tilde{K}}(I) := \mathcal{V}_{\tilde{K}}(\varphi_1, \dots, \varphi_m)$ . In this paragraph we prove the following extension of the classical Rückert Nullstellensatz:

**Theorem 2.2.** *Let  $K$  be a normed, non-discrete, complete field and  $I \in Id(\mathcal{O}_n)$  be an ideal. Then*

$$\mathcal{I}(\mathcal{V}_{\tilde{K}}(I)) = \sqrt{I}.$$

**Corollary 2.3.** (Rückert Nullstellensatz from [A]) *If  $K$  is a normed, non-discrete, complete, algebraically closed field, then  $\mathcal{I}(\mathcal{V}(I)) = \sqrt{I}$ , for any ideal  $I \in Id(\mathcal{O}_n)$ .*

**Proof.** We have  $K = \tilde{K}$  in this case. □

We shall prove an analogous of the previous Theorem 2.2 for germs of non-standard analytic functions (Theorem 2.16); then the Theorem 2.2 will follow using the isomorphisms  $\sigma$  and  $\delta$  from Section 1.3, using the last equality from Section 1.4 (see §1).

We put  $\Gamma_n := \Gamma_{n,0,K}$ ,  $\tilde{\Gamma}_n := \Gamma_{n,0,\tilde{K}}$  (see 1.3). We have  $0 \in K^n \subset \tilde{K}^n$ . We put  $\widehat{hal}_n(0) := \widehat{hal}_n^K(0)$  and  $\widehat{hal}_n^{\tilde{K}}(0) := \widehat{hal}_n^{\tilde{K}}(0)$  (see 1.4). We have  $hal_n(0) \subseteq \widehat{hal}_n(0)$ .

**Definition 2.4.** If  $I \subset \Gamma_n$  is an ideal, then  $p \in \widehat{hal}_n(0)$  is a *generic point* for  $I$  if  $I = \mathcal{I}(p)$  ( $= \{\varphi \in \Gamma_n \mid \varphi(p) = 0\}$ ).

**Lemma 2.5.** *The ideal  $(0)$  from  $\Gamma_n$  has a generic point in  $hal_n(0)$  (so it has a generic point in  $\widehat{hal}_n(0)$ ).*

**Proof.** We define the binary relation  $(f, U)rW$  if and only if  $f$  is analytic and non-zero on the open neighborhood  $U$  of the origin and  $W \subseteq U$  is a non-empty open subset such that  $f(x) \neq 0$ ,  $(\forall)x \in W$ . It is easy to see that  $r$  is a concurrent relation. By the Concurrence Principle (C.P.), we find a  $*$ -open set  $\nu \neq \emptyset$  such that for any  $f \in \Gamma_n \setminus \{0\}$ ,  $f$  analytic on  $U$  implies  $\nu \subseteq *U$  and  $f(x) \neq 0$ ,  $(\forall)x \in \nu$ . It follows that  $\nu \subseteq \cap \{ *U \mid U \text{ open neighborhood of } 0 \} = hal_n(0)$ . Then  $(0) = \mathcal{I}(\xi)$ , for any  $\xi \in \nu$ . □

If  $P \subseteq \Gamma_n$  is an ideal,  $P \neq 0$ , it is easy to see that  $P \subseteq \mathcal{I}(0)$ , which is the only maximal ideal of  $\Gamma_n$ , so  $\Gamma_n \setminus \mathcal{I}(0)$  is the set of invertible elements of the local ring  $\Gamma_n$ .

**Lemma 2.6.** *If  $P \in Spec(\Gamma_n)$ ,  $P \neq 0$ , then  $P = \mathcal{I}(0)$ .*

**Proof.** Let  $\varphi \neq 0$ ,  $\varphi \in P$ . Then  $\varphi(0) = 0$ , so  $\varphi(z) = z^k \psi(z)$ , with  $\psi(0) \neq 0$ . Hence  $\varphi \in P$ ,  $\psi \notin P$ ,  $P$  prime, so  $z^k \in P$ , so  $z \in P$ . □

**Theorem 2.7.** *If  $P \in Spec(\Gamma_n)$ ,  $P \neq \Gamma_n$ , then  $P$  has a generic point in  $\widehat{hal}_n(0)$ .*

**Proof.** If  $P = 0$ , use Lemma 2.5. If  $P \neq 0$ , we use induction on  $n$ . If  $n = 1$ , use Lemma 2.6. Suppose now that we know the Theorem 2.7 for  $\Gamma_n$  and we want to prove it for  $\Gamma_{n+1}$ .

Let  $P \in Spec(\Gamma_{n+1})$ ,  $P \neq 0$ . Put  $P' := P \cap \Gamma_n$  and  $P'' := P \cap \Gamma_n[t_{n+1}]$ . It is easy to see that if  $P$  is proper then  $P'$  and  $P''$  are also proper ideals and  $P' \in Spec(\Gamma_n)$ . By the induction hypothesis, we know that  $P'$  has a generic point  $(\xi_1, \dots, \xi_n) \in \widehat{hal}_n(0)$ . Let's define  $\varepsilon : \Gamma_n \rightarrow * \tilde{K}$  by  $\varepsilon(\varphi) := \varphi(\xi_1, \dots, \xi_n)$  the evaluation morphism. We have  $P' = Ker \varepsilon$ . We get the embedding  $G : \Gamma_n/P' \rightarrow *L'$ , induced by  $\varepsilon$ .

Let  $l : \Gamma_{n+1} \rightarrow \Gamma_{n+1}/P$  be the canonical projection and  $i : \Gamma_n \hookrightarrow \Gamma_{n+1}$  be the natural inclusion. Then  $\Gamma_n \xrightarrow{l \circ i} \Gamma_{n+1}/P$ . We have  $Ker(l \circ i) = P \cap \Gamma_n = P'$ , so we get the extension of rings  $\Gamma_n/P' \hookrightarrow \Gamma_{n+1}/P$ .

**Lemma 2.8.** *Let  $P \in Spec(\Gamma_n)$ ,  $P \neq 0$ . Then there is a non-standard Weierstrass polynomial  $\omega \in P$ .*

**Proof.** Let  $\varphi \in P$ ,  $\varphi \neq 0$ . We may suppose that  $\varphi$  is regular of order  $k > 0$  in  $t_n$ . By Theorem 1.5.1, we have  $\varphi = \omega\pi$ , where  $\pi \in \Gamma_n$  is invertible

and  $\omega$  is a non-standard Weierstrass polynomial.  $\varphi = \omega\pi \in P$ ,  $\pi \notin P$ , hence  $\omega \in P$ . □

**Lemma 2.9:**  $\Gamma_{n+1}/P$  is an integral extension of  $\Gamma_n/P'$ .

*Proof.* Take  $\omega \in P$  a non-standard Weierstrass polynomial of degree  $m$  in  $t_{n+1}$  (cf. Lemma 2.8). Then

$$0 = l(\omega) = l(t_{n+1})^m + \sum_{j=0}^{m-1} l(a_j)l(t_{n+1})^j,$$

where  $l(a_j) \in \Gamma_n/P'$ , for  $0 \leq j \leq m-1$ . So  $l(t_{n+1})$  is integer over  $\Gamma_n/P'$ .

Let now  $\nu \in \Gamma_{n+1}$ . By Theorem 1.5.2 there is  $\Delta \in \Gamma_{n+1}$  and  $\rho \in \Gamma_n[t_{n+1}]$  such that  $\nu = \omega\Delta + \rho$ . So  $l(\nu) = l(\omega)l(\Delta) + l(\rho) = l(\rho)$  ( $\omega \in P$ , so  $l(\omega) = 0$ ). Since  $\rho \in \Gamma_n[t_{n+1}]$ ,  $l(\rho)$  (hence  $l(\nu)$ ) is a polynomial in  $l(t_{n+1})$  with coefficients in  $\Gamma_n/P'$ . Since we already proved that  $l(t_{n+1})$  is integral over  $\Gamma_n/P'$  we have the lemma. □

**Lemma 2.10:** Let  $p$  be a polynomial over the ring of continuous functions on an open neighborhood  $V$  of the origin of  $\tilde{K}^n$  with values in  $\tilde{K}$ . Suppose that

$$p(z_1, \dots, z_{n+1}) = z_{n+1}^k + \sum_{j=0}^{k-1} a_j(z_1, \dots, z_n)z_{n+1}^j,$$

where  $a_0, \dots, a_{k-1}$  are continuous functions on  $V$  and  $a_j(0, \dots, 0) = 0$ ,  $0 \leq j \leq k-1$ . Let  $(\xi_1, \dots, \xi_n) \in \widehat{\text{hal}}_n(0)$ . Then any root of the polynomial  $q(z) := p(\xi_1, \dots, \xi_n, z)$  from  ${}^* \tilde{K}$  is infinitesimal.

**Proof.** Let  $\xi \in {}^* \tilde{K}$  be a root of  $q$ . If  $\xi = 0$ , O.K. If  $\xi \neq 0$ , we have

$$0 = \xi^k + \sum_{j=0}^{k-1} b_j(\xi_1, \dots, \xi_n)\xi^j \quad ; \quad \xi^k \neq 0 \quad \text{so} \quad -1 = \sum_{j=0}^{k-1} b_j(\xi_1, \dots, \xi_n)\xi^{j-k}.$$

If  $\xi$  is not infinitesimal, then  $\xi^{j-k}$  is finite for any  $j$ ,  $0 \leq j \leq k-1$ . Because the functions  $b_j$  are all continuous that  $b_j(\xi_1, \dots, \xi_n)$  are all infinitesimal. So, 1 is infinitesimal, a contradiction. □

**Construction 2.11:** Let  $\Lambda$  be the field of fractions of  $\Gamma_n/P'$  ( $\Lambda = (\Gamma_n/P')_0$ )-see the beginning of the proof of Theorem 2.7, and put

$$p(X) := X^m + \sum_{j=0}^{m-1} l(a_j)X^j, \quad p \in \Lambda[X],$$



where  $\omega \in P$ ,  $\omega = t_{n+1}^m + \sum_{j=0}^{m-1} a_j t_{n+1}^j$  is a Weierstrass polynomial, cf. Lemma 2.8. Then  $p(l(t_{n+1})) = 0$  and let  $q \in \Lambda[X]$  be an irreducible factor of  $p$  such that  $q(l(t_{n+1})) = 0$ . We extend  $G$  (induced by the evaluation morphism  $\varepsilon$ ), defined before Lemma 2.8 to an injective morphism, denoted also by  $G$ ,  $G : \Lambda \hookrightarrow {}^* \tilde{K}$ , in a natural way. Then  $\Lambda[X] \xrightarrow{G} {}^* \tilde{K}[X]$  and let  $\xi_{n+1}$  be a zero of  $G(q)$  in  ${}^* \tilde{K}$ , since  $\tilde{K}$  (so  ${}^* \tilde{K}$ ) is algebraically closed. Then  $G(p)(\xi_{n+1}) = 0$  (because  $G(q)|G(p)$ ) and

$$G(p)(X) = X^m + \sum_{j=0}^{m-1} G(l(a_j))X^j = X^m + \sum_{j=0}^{m-1} \hat{a}_j(\xi_1, \dots, \xi_n)X^j.$$

From Lemma 2.10 we deduce

**Lemma 2.12.** *Let  $P \in \text{Spec}(\Gamma_{n+1})$ ,  $0 \neq P \neq \Gamma_{n+1}$  and suppose that  $P' = P \cap \Gamma_n$  has a generic point  $(\xi_1, \dots, \xi_n) \in \widehat{\text{hal}}_n(0)$ . Then, if  $\xi_{n+1}$  is from Construction 2.11, we have  $(\xi_1, \dots, \xi_{n+1}) \in \widehat{\text{hal}}_{n+1}(0)$ .*

**Lemma 2.13:** *If  $P, P', (\xi_1, \dots, \xi_n)$  are as in the previous lemma and  $\xi_{n+1}$  is from Construction 2.11, then  $(\forall) \varphi \in \Gamma_{n+1}$ ,  $(\exists) \rho \in \Gamma_n[t_{n+1}]$  such that  $\varphi(\xi_1, \dots, \xi_{n+1}) = \rho(x_1, \dots, \xi_{n+1})$ .*

**Proof.**  $\xi_{n+1} \in {}^* \tilde{K}$  is a zero of  $G(q)$ . From Lemma 2.12,  $(\xi_1, \dots, \xi_{n+1}) \in \widehat{\text{hal}}_{n+1}(0)$  and we have for  $p \in \Lambda[X]$  (see Construction 2.11) with  $q$  as a factor:

$$0 = G(p)(\xi_{n+1}) = \xi_{n+1}^m + \sum_{j=0}^{m-1} a_j(\xi_1, \dots, \xi_n) \xi_{n+1}^j = \omega(\xi_1, \dots, \xi_{n+1}),$$

where  $\omega$  is the non-standard Weierstrass polynomial with coefficients

$a_1, \dots, a_{m-1} \in \Gamma_n$ . From Theorem 1.5.2 we find  $\Delta \in \Gamma_{n+1}$  and  $\rho \in \Gamma_n[t_{n+1}]$ ,  $\text{deg} \rho < m$ , such that  $\varphi = \omega \Delta + \rho$ . But then  $\varphi(\xi_1, \dots, \xi_{n+1}) = \rho(\xi_1, \dots, \xi_{n+1})$ .  $\square$

**Construction 2.14:** We recall that the evaluation morphism  $\varepsilon$  induces the embedding  $G : \Gamma_n/P' \hookrightarrow {}^* \tilde{K}$  (before Lemma 2.8). Let's consider the following fields:  $\Lambda := (\Gamma_n/P')_0$ ,  $\Omega := (\Gamma_n[t_{n+1}]/P'')_0$ ,  $\Phi := (\Gamma_{n+1}/P)_0$ . We have the natural inclusions:  $\Lambda \hookrightarrow \Omega \hookrightarrow \Phi$ . From Lemma 2.9 we deduce that the extension  $\Lambda \hookrightarrow \Phi$  is algebraic, so the extensions  $\Lambda \hookrightarrow \Omega$  and  $\Omega \hookrightarrow \Phi$  are also algebraic. So, if we consider the polynomial  $q$  from Construction 2.11, supposing that its dominant coefficient is 1, then  $q = \text{Irr}(l(t_{n+1}), \Omega)$ . Firstly, the canonical projection  $l : \Gamma_n[t_{n+1}] \rightarrow \Gamma_n[t_{n+1}]/P''$  factorizes to  $l_1 : (\Gamma_n/P')[t_{n+1}] \rightarrow \Gamma_n[t_{n+1}]/P''$  and extends to fractions  $l_2 : \Lambda[t_{n+1}] \rightarrow \Omega$ ,  $l_2(t_{n+1}) = l(t_{n+1})$  (i.e.  $t_{n+1} \pmod{P''}$ ). Secondly, the evaluation map  $\varepsilon$  extends to  $\varepsilon' : \Gamma_n[t_{n+1}] \rightarrow {}^* \tilde{K}$  by  $\pi \mapsto \pi(\xi_1, \dots, \xi_{n+1})$ . Because  $(\xi_1, \dots, \xi_n)$  is a generic point for  $P'$ , we deduce that  $\varepsilon'$  factorizes to  $\varepsilon_1 : (\Gamma_n/P')[t_{n+1}] \rightarrow {}^* \tilde{K}$

and extends to fractions  $\bar{\varepsilon}' : \Lambda[t_{n+1}] \rightarrow {}^* \tilde{K}$ . We extend the embedding  $G$  to  $G' : \Gamma_n[t_{n+1}]/P'' \rightarrow {}^* \tilde{K}$  such that  $G' \circ l = \varepsilon'$ , putting  $G'(l(t_{n+1})) := \xi_{n+1}$ . Finally, we get the following commutative diagram:

$$\begin{array}{ccccc}
 & & G' & & \\
 & & \swarrow & & \searrow \\
 \Gamma_n[t_{n+1}]/P'' & \xleftarrow{l} & \Gamma_n[t_{n+1}]/P'' & \xrightarrow{\varepsilon'} & {}^* \tilde{K} \\
 \downarrow \Omega & & \downarrow \pi & & \parallel \\
 \Omega & \xleftarrow{l_1} & (\Gamma_n/P')[t_{n+1}] & \xrightarrow{\varepsilon_1} & {}^* \tilde{K} \\
 \parallel & & \downarrow j & & \parallel \\
 \Omega & \xleftarrow{l_2} & \Lambda[t_{n+1}] & \xrightarrow{\varepsilon'} & {}^* \tilde{K}
 \end{array}$$

with  $\Lambda$  and  $\Omega$  from above.

As the extensions  $\Lambda \hookrightarrow \Omega$  is algebraic and  $q$  is irreducible, it follows that  $\text{Ker } l_2 = \langle q \rangle$ . Using the definition of  $\varepsilon'$ , we can see that  $\bar{\varepsilon}'(q) = 0$ , so  $\langle q \rangle \subseteq \text{Ker } \bar{\varepsilon}'$ . But  $\langle q \rangle$  is a maximal ideal (because  $q$  is irreducible), so  $\text{Ker } l_2 = \text{Ker } \bar{\varepsilon}' = \langle q \rangle$ . Going up on the previous diagram, we deduce that  $\text{Ker } \varepsilon_1 = \text{Ker } l_1$  and  $\text{Ker } l = \text{Ker } \varepsilon' (= P'')$ . So, the morphism  $G'$  is *injective*. We proved

**Lemma 2.15:**  $\text{Ker } \varepsilon' = P''$ .

Now, we are ready to end the proof of Theorem 2.7. We recall that we use induction on  $n$ . If  $P \in \text{Spec}(\Gamma_{n+1})$ ,  $0 \neq P \neq \Gamma_{n+1}$ , then  $P' := P \cap \Gamma_n \in \text{Spec}(\Gamma_n)$  and  $(\xi_1, \dots, \xi_n) \in \widehat{\text{hal}}_n(0)$  is a generic point for  $P'$ . From Lemma 2.12 we have  $(\xi_1, \dots, \xi_{n+1}) \in \widehat{\text{hal}}_n(0)$  such that  $(\forall) \varphi \in \Gamma_{n+1}$ ,  $(\exists) \rho \in \Gamma_n[t_{n+1}]$  with  $\varphi(\xi_1, \dots, \xi_{n+1}) = \rho(\xi_1, \dots, \xi_{n+1})$  (Lemma 2.13). But  $l(\varphi) = l(\rho)$  (see the proof of Lemma 2.13 and Construction 2.11:  $\omega \in P$ ). So  $\varphi \in P$  (i.e.  $l(\varphi) = 0$ ) if and only if  $\rho \in P \cap \Gamma_n[t_{n+1}] = P''$  (i.e.  $l(\rho) = 0$ ). But  $P'' = \text{Ker } \varepsilon'$  (Lemma 2.15), so  $\rho \in P''$  if and only if  $\varepsilon'(\rho) = 0$ , i.e.  $\rho(\xi_1, \dots, \xi_{n+1}) = 0$  (see the definition of  $\varepsilon'$ ). So  $\varphi \in P$  if and only if  $(\xi_1, \dots, \xi_{n+1}) = 0$ , i.e.  $(\xi_1, \dots, \xi_{n+1}) \in \widehat{\text{hal}}_{n+1}(0)$  is a generic point for  $P$ , q.e.d.  $\square$

**Theorem 2.16.** (Rückert Nullstellensatz, Non-standard version): *If  $I \in \text{Id}(\Gamma_n)$  is an ideal, then  $\mathcal{I}(\mathcal{V}_{\tilde{K}}(I)) = \sqrt{I}$ .*

**Proof.** Take  $\psi \notin \sqrt{I}$ . Put  $\mathcal{A}_\psi := \{J \in \text{Id}(\Gamma_n)/I \subseteq J \text{ and } \psi \notin \sqrt{J}\}$ . Then  $\mathcal{A}_\psi \neq \emptyset$  ( $I \in \mathcal{A}_\psi$ ) and  $\mathcal{A}_\psi$  inductive set. By Zorn Lemma, we find  $P_\psi \in \mathcal{A}_\psi$  a maximal element. We prove that  $P_\psi \in \text{Spec}(\Gamma_n)$ . Suppose the contrary and let  $x, y \in \Gamma_n$  such that  $xy \in P_\psi$  and  $x \notin P_\psi, y \notin P_\psi$ . Then  $P_\psi + \langle x \rangle \not\subseteq P_\psi, P_\psi + \langle y \rangle \not\subseteq P_\psi$  so  $P_\psi + \langle x \rangle \notin \mathcal{A}_\psi, P_\psi + \langle y \rangle \notin \mathcal{A}_\psi$ .

We find that  $\psi \in \sqrt{P_\psi + \langle x \rangle}$ ,  $\psi \in \sqrt{P_\psi + \langle y \rangle}$  so  $\psi^m = \alpha + \lambda x$  and  $\psi^p = \beta + \mu y$ ,  $\alpha, \beta \in P_\psi$ ,  $\lambda, \mu \in \Gamma_n$  for suitable  $m, p \in \mathbf{N}$ . So  $\psi^{m+p} \in P_\psi$  (use  $xy \in P_\psi$ ) so  $\psi \in \sqrt{P_\psi}$ , a contradiction. Because  $P_\psi \in \text{Spec}(\Gamma_n)$ , by Theorem 2.7,  $P_\psi$  has a generic point in  $\widehat{\text{hal}}_n(0)$ . Because  $I \subseteq P_\psi$  and  $\psi \notin P_\psi$  it follows that  $f(\xi_1, \dots, \xi_n) = 0$ ,  $(\forall) f \in I$ , but  $\psi(\xi_1, \dots, \xi_n) \neq 0$ , where  $(\xi_1, \dots, \xi_n) \in \widehat{\text{hal}}_n(0)$  is the generic point of  $P_\psi$ . So  $\psi \notin \mathcal{I}(\mathcal{V}_{\widehat{K}}(I))$ . We obtained  $\mathcal{I}(\mathcal{V}_{\widehat{K}}(I)) \subseteq \sqrt{I}$ . The converse inclusion being always true, we obtain the desired equality.  $\square$

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